



INTERNATIONAL ORGANIZATION OF SECURITIES COMMISSIONS



HARVARD LAW SCHOOL AND THE
PROGRAM ON INTERNATIONAL FINANCIAL SYSTEMS

IOSCO-HLS-PIFS Third Annual Global Policy Development Seminar

The Regulation of Crypto-Asset Markets

Thursday, November 10, 2022
9:30am – 11:50am (Eastern Time)
Via Zoom

PROGRAM AGENDA:

9:35am – 9:40am (ET)

Welcoming Remarks

- [Martin Moloney](#), Secretary General of the International Organization of Securities Commissions

9:40am – 10:40am (ET)

Panel Discussion on the Key Policy Issues in Crypto-Asset Markets: Market and Academic Perspectives

- [Jay Clayton](#), former Chair of the U.S. Securities & Exchange Commission and Senior Policy Advisor to Sullivan & Cromwell
- [Justin McCormack](#), Senior Vice President and Senior Managing Counsel at State Street
- [Timothy Massad](#), former Chair of the U.S. Commodity Futures Trading Commission, Research Fellow at the Harvard Kennedy School, and Adjunct Professor of Law at Georgetown Law School
- [Tom Jessop](#), President of Fidelity Digital Assets
- *Moderated by:* [John Gulliver](#), Executive Director of the Program on International Financial Systems

10:40am – 10:45am (ET)

Break

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10:45am – 11:45am (ET)

Panel Discussion: Regulator Perspectives on Reforming Crypto-Asset Markets

- [Elizabeth McCaul](#), Member of the Supervisory Board of the European Central Bank
- [Matthew Long](#), Director of Payments and Digital Assets at the U.K. Financial Conduct Authority and Chair of the Crypto and Digital Assets Working Group of IOSCO's Fintech Task Force
- [Valerie Szczepanik](#), Director of the U.S. Securities & Exchange Commission's Strategic Hub for Innovation and Financial Technology and Chair of the DeFi Working Group of IOSCO's Fintech Task Force
- [Yuji Yamashita](#), Deputy Commissioner for International Affairs at the Japan Financial Services Agency and Member of IOSCO's Fintech Task Force
- *Moderated by:* [Stefan Gavell](#), Vice Chair at the Program of International Financial Systems

11:45am – 11:50am (ET)

Closing Remarks

- [Hal S. Scott](#), Emeritus Professor at Harvard Law School and President of the Program on International Financial Systems

[Discussion is subject to Chatham House Rules]

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Participants List

Nr.	Title	First Name	Surname	Job Title	Department	Institution	Jurisdiction/Country
1	Ms.	María Eugenia	Stringa	Legal Counsel	Legal & Cooperation Department	Autoritat Financera Andorrana	Andorra
2	Mrs.	Judith	Alarcon	Supervisory Division Analyst	Supervisory Division	Autoritat Financera Andorrana	Andorra
3	Ms.	Narcisa	Ernesto	Senior Regulation Officer	Department of Regulatory Policy and Standards	Comissão do Mercado de Capitais	Angola
4	Mr.	Jacinto	Manuel	Senior Regulatory Officer	Regulatory Policy and Standards Department	Comissão do Mercado de Capitais	Angola
5	Mr.	Kenneth	Tam	Adviser		Australian Securities and Investments Commission	Australia
6	Ms.	Christina	Rolle	Executive Director; Vice-Chair IOSCO Inter-American Regional Committee	Office of the Executive Director	Securities Commission of The Bahamas	Bahamas
7	Ms.	Mechelle	Martinborough	Senior Legal Counsel	Office of Legal Counsel	Securities Commission of The Bahamas	Bahamas
8	Ms.	Ashley	Poitier	Manager	Supervision	Securities Commission of The Bahamas	Bahamas
9	Prof.	Shibli Rubayat-UI-Islam	Shibli	Chairman; Vice-Chair IOSCO Asia-Pacific Regional Committee		Bangladesh Securities and Exchange Commission	Bangladesh
10	Mr.	Hendrik	Van Driessche	Advisor	Supervision of Asset Management and Banking Products	Financial Services and Markets Authority	Belgium
11	Mr.	Christophe	Majois	Coordinator	Economic Research and Forecasting Service	Financial Services and Markets Authority	Belgium
12	Mrs.	Colmant	Mélanie	Cadre	Contrôle de l'asset management, des produits d'épargne et d'investissement bancaires	Financial Services and Markets Authority	Belgium
13	Ms.	Tiffany	Chiu	Section Chief	Securities Trading Division	Financial Supervisory Commission	Chinese Taipei
14	Ms.	Marsha	Seferina	Head	Supervision on Securities, Stock Exchange & Trust	Centrale Bank van Curaçao en Sint Maarten	Curaçao
15	Mrs.	Ramilu	van Hoop-Kleinmoedig	Senior Supervision Officer	Supervision on Securities, Stock Exchange & Trust	Centrale Bank van Curaçao en Sint Maarten	Curaçao
16	Ms.	Michelle	Habibe	Senior Supervision Officer	Supervision on Securities, Stock Exchange & Trust	Centrale Bank van Curaçao en Sint Maarten	Curaçao
17	Mrs.	Hayam	Hassan	Head of Fixed Income Department	Corporate Finance	Financial Regulatory Authority	Egypt
18	Mrs.	Sally	George	General Manager	Mutual Funds	Financial Regulatory Authority	Egypt
19	Ms.	Elizabeth	McCaul	Member of the Supervisory Board		European Central Bank	Europe
20	Mr.	Klaus	Löber	Chair	CCP Supervisory Committee	European Securities and Markets Authority	European Union
21	Mr.	Olivier	Brochand	Senior Policy Officer	Policy & International Affairs	Autorité des marchés financiers	France
22	Ms.	Marianne	Jarlaud	Policy Officer		Autorité des marchés financiers	France
23	Mr.	Emmanuel	Appiah	Senior Manager	Risk Management	Securities and Exchange Commission	Ghana

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24	Mr.	James	Peprah-Yeboah	Assistant Manager - Project Analyst/Policy Research	Project Management/Policy Research	Securities and Exchange Commission	Ghana
25	Dr.	Vasiliki	Lazarakou	Chair; Board Representative IOSCO European Regional Committee		Hellenic Capital Market Commission	Greece
26	Mrs.	Lena	Roussou	Associate	Research	Hellenic Capital Market Commission	Greece
27	Mr.	Emmanouil	Arvanitis	Officer	International Relations	Hellenic Capital Market Commission	Greece
28	Mr.	Nikolaos	Aisiopoulos	Auditor		Hellenic Capital Market Commission	Greece
29	Mr.	Amarjeet	Singh	Executive Director	Market Regulation Department	Securities and Exchange Board of India	India
30	Mr.	Varun	Shivhare	Assistant General Manager	Legal Department	Securities and Exchange Board of India	India
31	Mr.	Prateek	Arora	Assistant General Manager	Market Regulation Department	Securities and Exchange Board of India	India
32	Mr.	Martin	Moloney	Secretary General		International Organization of Securities Commissions	International
33	Mr.	Damien	Shanahan	Senior Advisor and Head of Emerging Regulatory Issues		International Organization of Securities Commissions	International
34	Ms.	Patricia	Saenz de Maturana	Senior Policy Advisor	Policy	International Organization of Securities Commissions	International
35	Mr.	Alp	Eroglu	Senior Policy Advisor	Policy	International Organization of Securities Commissions	International
36	Ms.	Cecile	De Wit	Senior Advisor, Capacity Building Policy and Programs	Capacity Building	International Organization of Securities Commissions	International
37	Mr.	Cameron	Carr	Advisor	Emerging Regulatory Issues	International Organization of Securities Commissions	International
38	Mr.	Devid	Mazzonetto	Policy Advisor	ERI	International Organization of Securities Commissions	International
39	Ms.	Lalida	Chuayruk	Implementation Monitoring Advisor	IM Team	International Organization of Securities Commissions	International
40	Ms.	Rinasha	Appavoo	GEM Policy Advisor	GEM	International Organization of Securities Commissions	International
41	Mr.	Richard	Metcalfe	Head	Regulatory Affairs	World Federation of Exchanges	International
42	Mr.	James	Auliffe	Manager	Regulatory Affairs	World Federation of Exchanges	International
43	Mrs.	Karolina	Ziółkowska	Policy Advisor		CCP12 – The Global Association of Central Counterparties	International
44	Mr.	Kevin	Mullen	Head of Markets Policy, EU and International	Markets Policy, EU and International	Central Bank of Ireland	Ireland
45	Mr.	Shoham	Ben Rubi	Head of International Affairs Division	International Affairs Division	Israel Securities Authority	Israel
46	Mr.	Tom	Goldreich	Advisor	International Affairs Division	Israel Securities Authority	Israel

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47	Mr.	Carlo	Comporti	Commissioner		Commissione Nazionale per le Società e la Borsa	Italy
48	Mr.	Stefano	Spagnoli	Advisor	Markets Division	Commissione Nazionale per le Società e la Borsa	Italy
49	Mr.	Yuji	Yamashita	Deputy Commissioner for International Affairs		Japan Financial Services Agency	Japan
50	Ms.	Saehee	Hong	Attorney at Law, Examiner	Asset Management Examination Department	Financial Services Commission/Financial Supervisory Service	Korea, Republic of
51	Mr.	Muthanna	Alsaleh	Head of Markets Sector	Markets Sector	Capital Markets Authority	Kuwait
52	Mr.	Fawaz	Boresli	Department Director	Capital Markets Development and Risk Management	Capital Markets Authority	Kuwait
53	Mr.	Abdulrahman	Mohamad	Director	International Relations Office	Capital Markets Authority	Kuwait
54	Mr.	Abdullah	Alterkait	Listed Companies Supervision Division Manager	Offsite Supervision Department	Capital Markets Authority	Kuwait
55	Mr.	Ahmad	Aljeraiwi	Capital Markets Development Manager	Capital Markets Development and Risk Management Department	Capital Markets Authority	Kuwait
56	Ms.	Dalal	Al-kandari	Senior Analyst	Off-site Supervision	Capital Markets Authority	Kuwait
57	Ms.	Neetasha	Rauf	Deputy Director & Head	International Affairs Department	Securities Commission	Malaysia
58	Ms.	Azalina	Adham	Executive Director	Strategy & Policy	Securities Commission	Malaysia
59	Dr.	Wong	Huei Ching	Executive Director	Digital, Strategy & Innovation	Securities Commission	Malaysia
60	Ms.	Adele	Moi Hui Fong	Manager	International Affairs	Securities Commission	Malaysia
61				Senior Manager	RFIs and Intra Agencies Collaboration	Financial Services Commission	Mauritius
62	Ms.	Flor	Hernandez	Director General		Comisión Nacional Bancaria y de Valores	Mexico
63	Ms.	Valeria	Garcia	General Director	General Direction of Supervision of Market Participants Conduct	Comisión Nacional Bancaria y de Valores	Mexico
64	Mrs.	Mary	Loo	DG	DGSROT	Comisión Nacional Bancaria y de Valores	Mexico
65	Mrs.	Yolanda	Sosa	Director for Access to Finance	Access to Finance	Comisión Nacional Bancaria y de Valores	Mexico
66	Ms.	Vania Jazmin	Rosas	Director de Area	DGPORPIC	Comisión Nacional Bancaria y de Valores	Mexico
67	Mr.	Carlos Alberto	Léon Enríquez	Director de Area		Comisión Nacional Bancaria y de Valores	Mexico
68	Ms.	Diana	Hernández	Lawyer		Comisión Nacional Bancaria y de Valores	Mexico
69	Ms.	Sara	González	Specialist	International Affairs	Comisión Nacional Bancaria y de Valores	Mexico

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70	Mrs.	Gabriela	Ríos	Coordinator	Supervision of Market Participant's Conducts	Comisión Nacional Bancaria y de Valores	Mexico
71	Mr.	Daniel Gerardo	Equivel Chávez	Coordinador de Prevención de Operaciones		Comisión Nacional Bancaria y de Valores	Mexico
72	Mr.	Luis	Martinez	Inspector	Dirección General de Prevención de Operaciones con Recursos de Procedencia Ilícita	Comisión Nacional Bancaria y de Valores	Mexico
73	Mr.	Faustino	Cruz	External Personnel	Money Laundering Prevention	Comisión Nacional Bancaria y de Valores	Mexico
74	Mr.	Ismael	Reyes	AML Inspector	AML Fintech	Comisión Nacional Bancaria y de Valores	Mexico
75	Mrs.	Nancy	Valdez	Inspector	AML	Comisión Nacional Bancaria y de Valores	Mexico
76	Mr.	Tumurkhuyag	Batsuuri	Senior Referent	Securities Market Department	Financial Regulatory Commission	Mongolia
77	Mr.	Hicham	Elalamy	Director	Support & Development	Autorité Marocaine du Marché des Capitaux	Morocco
78	Mrs.	Nadia	Bouhouch	Senior Policy Advisor of Fintech		Autorité Marocaine du Marché des Capitaux	Morocco
79	Mr.	Grant	Vingoe	Chief Executive Officer	Executive Officer	Ontario Securities Commission	Ontario
80	Mr.	Paul	Redman	Director and Chief Economist; Vice-Chair IOSCO Committee on Emerging Risks	Regulatory Strategy and Research	Ontario Securities Commission	Ontario
81	Mr.	Kevin	Fine	Director; Chair IOSCO Committee 7 on Derivatives	Derivatives Branch	Ontario Securities Commission	Ontario
82	Mr.	Jose Manuel	Peschiera	Chairman; Board Representative IOSCO Inter-American Regional Committee	Board	Superintendencia del Mercado de Valores	Peru
83	Mr.	Rabanal	Alejandro	Superintendent	Risk	Superintendencia del Mercado de Valores	Peru
84	Mr.	Rodny	Rivera	International Affairs Executive Coordinator		Superintendencia del Mercado de Valores	Peru
85	Mr.	Oliver	Leonardo	Director	Enforcement and Investor Protection Department	Securities and Exchange Commission	Philippines
86	Mr.	Jorge	Fernandes	Director	Internal Control Office	Comissão do Mercado de Valores Mobiliários	Portugal
87	Mrs.	Carla	Cabrita	Technical Advisor	On-site Supervision and Investigation Department	Comissão do Mercado de Valores Mobiliários	Portugal
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92	Mr.	Louis	Morisset	President and CEO; Co-Chair IOSCO Follow up Group on Market Fragmentation		Autorité des marchés financiers	Quebec

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95	Mr.	Jean-Pierre	Aubé	Coordinating Investigator	Cyber Investigations	Autorité des marchés financiers	Quebec
96	Mrs.	Helene	Guilbault	Coordinating Investigator	Cyberinvestigations	Autorité des marchés financiers	Quebec
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98	Mr.	Nayef	Alabdulwahed	Director	Regulatory Policy Development	Capital Market Authority	Saudi Arabia
99	Ms.	Asma	Alfaleh	Team Leader		Capital Market Authority	Saudi Arabia
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102	Mr.	Ian	Lee	Associate	MPI	Monetary Authority of Singapore	Singapore
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104	Mr.	Jorge	Hernandez	Senior Officer	International Affairs	Comisión Nacional del Mercado de Valores	Spain
105	Mr.	Eduardo	Llorente Escobar	Technician	Investor Department	Comisión Nacional del Mercado de Valores	Spain
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107	Dr.	Leonard	Franken	Senior Supervisor / Research	Expert Centre	The Dutch Authority for the Financial Markets	The Netherlands
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111	Mrs.	Rhiannon	Price	Manager	Digital Assets	Financial Conduct Authority	United Kingdom
112	Mr.	Felix	Grenfell Bozek	Associate	International Division	Financial Conduct Authority	United Kingdom
113	Prof.	Hal	Scott	Emeritus Professor at Harvard Law School and President of the Program on International Financial Systems		Program on International Financial Systems	United States of America
114	Mr.	John	Gulliver	Executive Director of the Program on International Financial Systems		Program on International Financial Systems	United States of America
115	Mr.	Stefan	Gavell	Vice Chair at the Program of International Financial Systems		Program on International Financial Systems	United States of America

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117	Ms.	Valerie	Szczepanik	Director Strategic Hub for Innovation and Financial Technology; Chair DeFi Working Group of IOSCO's Fintech Task Force		Securities and Exchange Commission	United States of America
118	Dr.	Paul	Munter	Acting Chief Accountant; Vice-Chair IOSCO Committee 1 on Issuer Accounting, Audit and Disclosure	Office of the Chief Accountant	Securities and Exchange Commission	United States of America
119	Mr.	Kevin	Baumann	Senior Special Counsel	Office of International Affairs	Securities and Exchange Commission	United States of America
120	Ms.	Kirsten	Robbins	Senior Special Counsel; IOSCO Co-Chair of the CPMI-IOSCO Policy Standing Group	Office of International Affairs	Commodity Futures Trading Commission	United States of America
121	Mr.	Jason	Mahoney	Special Counsel	Office of International Affairs	Commodity Futures Trading Commission	United States of America
122	Ms.	Bianca	Gomez	Special Counsel	Office of International Affairs	Commodity Futures Trading Commission	United States of America
123	Mrs.	Karen	Wuertz	SVP External Affairs & Communications; Chair IOSCO Affiliate Members Consultative Committee	Communications	National Futures Association	United States of America
124	Mr.	Joe	McCarthy	Senior Communications Specialist	External Affairs & Communications	National Futures Association	United States of America
125	Mr.	Jay	Clayton	Former Chair US SEC; Senior Policy Advisor to Sullivan & Cromwell		Sullivan & Cromwell	United States of America
126	Mr.	Justin	McCormack	Senior Vice President and Senior Managing Counsel		State Street	United States of America
127	Mr.	Timothy	Massad	Former Chair US CFTC; Research Fellow at Harvard Kennedy School, and Adjunct Professor of Law at Georgetown Law School		Harvard Kennedy School; Georgetown Law School	United States of America
128	Mr.	Tom	Jessop	President Fidelity Digital Assets		Fidelity Digital Assets	United States of America