



Harvard Law School Program on International Financial Systems

# SYMPOSIUM ON BUILDING THE FINANCIAL SYSTEM OF THE 21ST CENTURY: AN AGENDA FOR EUROPE AND THE UNITED STATES

MAY 10-12, 2023  
European Central Bank  
Frankfurt, Germany

## Biographies





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**Johannes Adolff**

Professor; Partner  
Hengeler Mueller

Johannes received his legal education at the University of Munich (Dr. jur.) and the Trinity College, University of Cambridge (LL.M.). He became an associate with Hengeler Mueller in 1997 and a partner in the Frankfurt office in 2006. He is an adjunct professor teaching law at Goethe University Frankfurt. His academic writings cover a broad spectrum of legal issues, including public corporate law, compliance, shareholder rights, cross-border mergers, and the recovery and resolution of financial institutions.

**Patrice Aguesse**

Head of the Market Regulation Division, within the Regulatory Policy and International Affairs Department  
Autorité des Marchés Financiers (AMF)

From September 2011 to September 2014, Patrice was Financial Counsellor at the French Treasury in New York. Prior to that, Patrice had been working at the AMF since June 1995. He started at the AMF as a senior officer in the debts and derivative products department within the corporate finance division. In April 1998 he became the head of this department and from January 2000 took charge of the banks and insurance department as well.

From 2001 to 2003 he was seconded to the secretariat of the Economic and Monetary Affairs Committee (EMAC) at the European Parliament. Upon his return in January 2005 he was named director in the Regulatory Policy Division in charge of the corporate finance regulation. From 1991 to 1995, Patrice worked in the treasury department of Banque Sovac.

**James Angel**

Associate Professor  
Georgetown University

Professor Angel specializes in the market structure and regulation of global financial markets, and he has visited over 70 financial exchanges around the world. He teaches undergraduate, MBA, and executive courses, including Investments and Capital Markets. "Dr. Jim" has testified before Congress about issues relating to the design of financial markets. In addition, he has been quoted in hundreds of newspaper articles and has appeared numerous times on radio and television. Dr. Jim began his professional career as a rate engineer at Pacific Gas and Electric, where he worked on FERC and CPUC related issues. Along the way he has also worked at BARRA (later part of Morgan Stanley) where he developed equity risk models. He has also served as a Visiting Academic Fellow in residence at the National Association of Securities Dealers (NASD – now FINRA) and as a visiting economist at the Shanghai Stock Exchange. He has also been chairman of the Nasdaq Economic Advisory Board, a member of the OTC Bulletin Board Advisory Committee, and has served on the board of directors of the Direct Edge Stock Exchanges (later part of BATS Global Markets). From 2012-2014 he was a visiting associate professor at the Wharton School of the University of Pennsylvania. Professor Angel is a co-inventor on 12 fintech patents.

**Sophie Asselot**

ESG and Capital Markets Head of Public Affairs  
BPCE/Natixis

Appointed Senior at Deloitte in 1994, Sophie Asselot entered the financial sector in 1995 where she started an internal control and compliance career in CIB and asset management activities. She has been successively working for Louis Dreyfus, Lehman Brothers, Barclays Capital and lastly Natixis, where she covered all worldwide investment banking compliance activities.

In 2016, she turned to public affairs to manage Natixis Public Affairs and since 1 March 2022, she also officially covers capital markets (buy and sell sides) and sustainable development public affairs for BPCE Group. Sophie is graduated from ICN Business school.

**Greg Baer**

President & Chief Executive Office  
Bank Policy Institute (BPI)

Greg Baer is the President and Chief Executive Officer at the Bank Policy Institute. Previously, he served as President of The Clearing House Association and Executive Vice President and General Counsel of The Clearing House Payments Company, the largest private sector payments operator in the United States.

Prior to joining The Clearing House, Mr. Baer was Managing Director and Head of Regulatory Policy at JPMorgan Chase. He previously served as General Counsel for Corporate and Regulatory Law at JPMorgan Chase, supervising the company's legal work with respect to financial reporting, global regulatory affairs, intellectual property, private equity and corporate M&A, and data protection and privacy.

Mr. Baer previously served as Deputy General Counsel for Corporate Law at Bank of America, and as a partner and co-head of the financial institutions group at Wilmer, Cutler, Pickering, Hale & Dorr. From 1999 to 2001, Mr. Baer served as Assistant Secretary for Financial Institutions at the U.S. Department of the Treasury, after serving as Deputy Assistant Secretary. Prior to working for the Treasury Department, Mr. Baer was managing senior counsel at the Board of Governors of the Federal Reserve System.

Mr. Baer received his J.D. cum laude from Harvard Law School in 1987 and served as managing editor of the Harvard Law Review. He received his A.B. with honors from the University of North Carolina at Chapel Hill in 1984.

Mr. Baer also serves as an adjunct professor at Georgetown University Law School and is a member of the Economic Club of Washington. He currently serves on the board of Honors Carolina, and previously served on the boards of Enterprise Community Partners, the DC College Access Program, and the Appleseed Foundation. He is also the author of two books: *The Great Mutual Fund Trap* (Random House, 2002) and *Life: The Odds (And How to Improve Them)* (Penguin-Putnam, 2003).

**Bastian Bahnemann**

Principal, Regulatory and Industry Security Engagement Europe for Financial Services Industry  
Amazon Web Services (AWS)

Bastian leads the Amazon Web Services (AWS) regulated industry security engagements for the Financial Services Industry (FSI) in Europe. In this function he works together with European financial services entities, financial services regulators and supervisors, and other industry stakeholder on cloud security and compliance topics. Before joining AWS in 2019 Bastian worked 11 years in different responsibilities at Deutsche Boerse Group. Among other duties he served as General Manager for Deutsche Boerse Services in Prague, headed the Eurex and IT Executive Office leadership processes, and coordinated core cloud adoption and governance efforts. He initiated and moderated the Collaborative Cloud Audit Group (CCAG) from 2017 to 2019. Bastian holds an Executive MBA from Columbia Business School, Hong Kong University and London Business School as well as a diploma (FH) from the College of the Economy (FHDW) in Hanover.

**William Beach**

U.S. Treasury Representative for Europe  
U.S. Department of the Treasury

Prior to transitioning to Brussels in July 2020, Will was the Deputy Director of U.S. Treasury's Office of Multilateral Development Banks. Prior to that, Will was Treasury's lead euro area economist in its Office of Europe and Eurasia. Earlier in his career, Will was Adviser to the U.S. Executive Director of the European Bank for Reconstruction and Development in London, and he also spent two years in rural Bulgaria as a Peace Corps Volunteer. He holds a Bachelor of Arts in Philosophy from Pomona College, an MBA from the University of Chicago Booth School of Business, and a Master of Arts in Russian and Eastern European Area Studies from the University of Chicago.

**Stephen Berger**

Managing Director, Global Head of Government & Regulatory Policy  
Citadel

Stephen Berger is a Managing Director and Global Head of Government & Regulatory Policy at Citadel. He leads the firm's engagement on legislative and regulatory initiatives impacting the financial industry globally, including the Dodd-Frank Act in the United States and EMIR and MiFID II in Europe. Mr. Berger has testified before the House Agriculture Committee on the impact of the G-20 clearing and trade execution requirements, presented before CFTC advisory committee meetings on issues including package transactions and position limits, participated in workshops at the New York Fed and Chicago Fed on CCP recovery and resolution, and spoken on panels at MFA, FIA, and ISDA conferences on topics ranging from cross-border harmonization to margin requirements for uncleared swaps. Mr. Berger is a member of the CFTC's Market Risk Advisory Committee, NFA's CPO / CTA Advisory Committee, and the Federal Reserve Bank of Chicago's Working Group on Financial Markets. He has served as Chair of the Managed Funds Association's Derivatives and Swaps Committee and is an active participant in a number of MFA, AIMA, SIFMA AMG, and ISDA committees. Prior to joining



Citadel, Mr. Berger was an Executive Director at UBS Investment Bank, where he led UBS's US financial regulatory reform team.

### **Anne Bernard**

Head of Global Prudential Affairs  
BNP Paribas

Anne Bernard joined the BNP Paribas group in 1998. Since January 2023, she is Head of Group Prudential Affairs within BNP Paribas Public Affairs department. Prior to this appointment, she spent 1 year with Bank of the West (San Francisco, USA) as COO for the Risk department and 3 years with BNL (Rome, Italy) as Deputy Head of the Special Credits unit managing the NPL portfolio of the bank. From 2013 to 2018, she was Head of Group Supervisory Affairs, in charge of the relationships with the banking supervision authorities, including the ECB from 2014 and the onset of the SSM. Between 1998 and 2012, she held several positions within BNP Paribas in Paris, Hong Kong and London; in particular, she worked for the Financial Management unit of the Finance division, handling transactions and projects for the group's own account. Anne started her career with a first experience in corporate banking followed by 3 years in strategic consulting. She graduated from ESSEC Business School (France) in 1991 and holds a master's degree in Corporate Finance and Financial Markets from the Institute of Political Studies (IEP) of Paris.

### **Carsten Berrar**

Partner  
Sullivan & Cromwell LLP

Dr. Carsten Berrar is a member of Sullivan & Cromwell's Management Committee, managing partner of the Firm's Frankfurt office and co-head of its Global Capital Markets Group. As one of Germany's leading lawyers for both capital markets and M&A matters, his capital markets experience includes many of the largest and most significant IPOs in Germany within the last twenty-five years, while he also advised on many landmark M&A transactions in Germany, thereby particularly shaping the German public M&A market. Carsten is also a seasoned corporate and corporate governance advisor who counsels clients on a wide range of topics such as shareholder meetings, transformational transactions (e.g. spin-offs/subsidiary IPOs) and post-transaction corporate measures. This combination of a multidisciplinary transactional practice and a leading advisory role make him one of the key senior business law advisors in Germany.

Carsten earned a Licence en droit from Université de Paris II Panthéon-Assas in 1994, completed his 1. State Exam at Ludwig-Maximilians-Universität in Munich in 1997 and his 2. State Exam in the State of Bavaria in 1999. He graduated with an LL.M. from Harvard Law School in 2000 and completed his Ph.D. at Ludwig-Maximilians-Universität in Munich in 2001. He is admitted to the bar in Frankfurt and New York.

Sullivan & Cromwell LLP provides the highest quality legal advice and representation to clients worldwide. S&C's record of success and unparalleled client service has set it apart for more than 140 years and made the firm a model for the modern practice of law. Today, it is one of the leaders in each of its core practice areas and geographic markets. It comprises more than 900 lawyers who conduct a seamless, global practice throughout 13 offices in Asia, Australia, Europe and the United States.

**Edward Bowles**

Global Director of Public Policy  
Meta Financial Technologies

Edward is Head of Fintech Policy at Meta, prior to which he was Director of Public Policy for Northern, Central and Eastern Europe. Before joining Facebook (as it then was) in September 2019, Edward was Managing Director for Group Public and Regulatory Affairs at Standard Chartered Bank (SCB), where he worked from 2007. During his time at SCB, Edward was also a Member of the European Commission's Advisory Group on TTIP, a Board Member of Hacked Off and on various committees of UK Finance, AFME, The City UK and City of London Corporation. Prior to his time at SCB, Edward spent 5 years in Whitehall, variously as Head of Human Rights, Private Secretary to two Ministers and the Permanent Secretary of the Ministry of Justice, having previously practiced as a Barrister with the Crown Prosecution Service for eight years, during seven of which he was also an elected Member of the Bar Council, and Chairman of the Young Bar. Edward has an MBA from Imperial College London.

**Michelle W. Bowman**

Governor  
U.S Federal Reserve System

Michelle (Miki) Bowman took office as a member of the Board of Governors of the Federal Reserve System on November 26, 2018, to fill an unexpired term ending January 31, 2020. She was reappointed to the Board on January 23, 2020, and sworn in on January 30, 2020, for a term ending January 31, 2034.

Prior to her appointment to the Board, Ms. Bowman served as the state bank commissioner of Kansas from January 2017 to November 2018. She also served as vice president of Farmers & Drovers Bank in Kansas from 2010 to 2017.

In addition to her experience in the banking industry, Ms. Bowman worked in Washington, D.C. for Senator Bob Dole of Kansas from 1995 to 1996 and served as a counsel to the U.S. House Committee on Transportation and Infrastructure and the Committee on Government Reform and Oversight between 1997 and 2002. In 2002, Ms. Bowman became director of congressional and intergovernmental affairs at the Federal Emergency Management Agency. From 2003 to 2004, she served as Deputy Assistant Secretary and policy advisor to Homeland Security Secretary Tom Ridge.

Following her time in Washington, D.C., Ms. Bowman led a government and public affairs consultancy based in London before returning to Kansas in 2010.

Ms. Bowman received a BS in advertising and journalism from the University of Kansas and a JD from the Washburn University School of Law. She is a member of the New York Bar.

Ms. Bowman is married with two children.

**Katharine Braddick**

Group Head of Strategic Policy and Advisor to the Group CEO  
Barclays

Katharine Braddick joined Barclays in March 2022 as Group Head of Strategic Policy and Advisor to the Group CEO. Katharine will define and execute Barclays' Strategic Policy approach, aligning our Group strategy with a dynamic, complex multi-jurisdictional government and regulatory landscape. As the advisor to the Group CEO on Strategic Policy, Katharine will work closely with the Group Executive Committee on our strategy and our advocacy agenda.

Katharine was most recently the Director General for Financial Services at HM Treasury and had held a number of senior roles at the Bank of England and Financial Services Authority. Her extensive experience includes leading political negotiations on financial services legislation relating to Brexit as well as leading the reform and implementation of new prudential banking standards in the UK in the wake of the financial crisis.

**Karen Braun-Munzinger**

Deputy Director General Banking and Financial Supervision  
Deutsche Bundesbank

In January 2021, Dr. Karen Braun-Munzinger took over the position of permanent deputy head of the Central Division for Banks and Financial Supervision at the Deutsche Bundesbank. She came from the European Central Bank, where she was most recently Head of Financial Stability. Previously, Karen Braun-Munzinger also worked in the area of financial supervision and regulation at the Bank of England and the UK Treasury and Treasury.

**Christopher Breen**

Senior Research Analyst  
New Financial

Christopher Breen is a senior research analyst at New Financial where he focuses on ESG, sustainable finance, and European capital markets. Since joining New Financial in 2021, he has been the lead author on reports centred on green and transition finance, in addition to co-authoring reports on benchmarking global ESG issuance and assessing the state of European capital markets in terms of value, penetration, and depth.

As part of his work at New Financial, Christopher has worked with both governmental and corporate actors in the UK and European Union to understand the state of sustainable finance throughout Europe, and how ESG capital market data can be used to better inform policies around decarbonising the worst polluting industries and achieving net-zero emissions.

From 2017 to 2019, Christopher worked at the Global Business Coalition for Education (GBC-Education) in New York City, where he led the organisation's communications and research agenda. Prior to working at GBC-Education, he worked at the International Crisis Group in supporting their events programme.

Christopher completed his master's degree in Data Analysis at Queen Mary University of London in 2021 along with his master's degree in International Relations at King's College London in 2020. He graduated from the University of Chicago in 2016 with a bachelor's degree in Political Science and Slavic Languages and Literatures, focusing on Russian political and international affairs.

Christopher is originally from outside New York City but now lives in London.

### **Angus M. Canvin**

Director of International Affairs, UK Finance

Angus is Director of International Affairs at UK Finance, which represents banking, payments and related financial services firms doing business within and from the UK. Angus has responsibility for UK Finance engagement internationally, including to represent UK Finance to stakeholders abroad.

His current position follows a long career as a financial services policy professional in public service (European Parliament, UK FSA and Bank of England) and in the private sector as a member of the Goldman Sachs' EMEA government relations team and then the Investment Association. Angus began his career as a lawyer (qualified in Australia and the UK).

### **Amélie Champsaur**

Partner

Cleary Gottlieb Steen & Hamilton LLP

Amélie Champsaur's practice focuses on mergers and acquisitions, capital markets, and investigations, particularly in the financial sector.

Amélie leads the firm's EU financial regulatory practice and is active in the fast developing area of EU-level financial regulation, advising EU and non-EU banks, infrastructures, sovereigns, investment funds, and other market participants on a broad range of matters, including prudential regulation, governance and compliance (including cyber-security), bank resolution, state aid in the financial sector, and derivatives regulation, in the context of M&A, capital markets transactions, as well as enforcement and litigation.

She has also advised clients on the cross-border aspects of U.S. regulatory reforms, enforcement, and litigation matters, including on privacy matters.

Amélie joined the firm in 2003 and became a partner in 2012. She was a resident in the New York office from 2005 to 2006.

**Joe Carapiet**  
Managing Director  
Blackstone

Joe Carapiet is a Managing Director of Government Relations. He helps navigate regulatory and legislative matters for the firm and its portfolio companies.

Before joining Blackstone, Mr. Carapiet was special advisor to the Federal Reserve's Vice Chairman for Supervision. He previously served as chief counsel for the U.S. Senate Committee on Banking, Housing, and Urban Affairs. He was a senior counsel for the U.S. House Committee on Financial Services and an attorney at the Federal Reserve. He previously practiced corporate law at Cravath, Swaine & Moore and Sullivan & Cromwell and was a law clerk on the U.S. Court of Appeals for the Eighth Circuit.

Mr. Carapiet holds a J.D. from the University of Pennsylvania Law School and a B.A. from Boston College.

**Almoró Rubin de Cervin**  
Head of Unit  
European Commission

Almoró Rubin de Cervin graduated in economics from the Bocconi University in Milan.

He joined the European Commission in 2000. He has worked in DG Enterprise on industrial policies and SMEs and in DG Competition, in particular in the area of State aid control.

Since 2010 he has been working on financial services policies. For a number of years he has been working on policy coordination and on international issues. In 2016 and 2017, he was Head of the Unit in charge of macro prudential policies. He has been coordinating the work on financial services in the context of Brexit. He is now Head of Unit for International Affairs in DG FISMA and is also acting Director of the General Affairs Directorate, which is in charge of the general coordination of all external and inter-institutional relations as well as steering FISMA's general policy.

**Nicholas Collier**  
Managing Director  
City of London Corporation

Nick Collier joined the City of London Corporation as its new Managing Director of the Brussels office in March 2019. He was previously Global Head of Government Relations at Refinitiv (Thomson Reuters) and before that worked at a range of organizations in the financial services sector, including Morgan Stanley and the Bank of England and, until recently, served as Chair of The City UK's Public Affairs Group as well as Deputy Chair of the International Regulatory Strategy Group.

Nick is chair of diplomatic engagement at the International Business and Diplomatic Exchange. He holds an MSC in Economics and Finance from the London School of Economics and a BA from Oxford.

### **Hugh Conroy**

Partner

Cleary Gottlieb Steen & Hamilton LLP

Hugh C. Conroy Jr. is a partner at Cleary Gottlieb Steen & Hamilton LLP in New York. He is distinguished as one of the leading financial services regulation lawyers by Chambers USA, the IFLR 1000: The Guide to the World's Leading Law Firms, and The Legal 500 U.S. Mr. Conroy's practice focuses on bank and bank holding company regulatory issues, as well as broader financial services developments, particularly in the digital assets and novel licensing space. Mr. Conroy has presented on regulatory issues at conferences sponsored by the American Bankers Association, the American Bar Association, the Financial Markets Association, and the Securities Industry and Financial Markets Association, and has been a guest lecturer on regulatory matters at Columbia Law School and NYU Law School. Mr. Conroy is a former Vice-Chair of the American Bar Association Banking Law Committee, and a former Vice-Chair and Chair of its subcommittee on Securities, Capital Markets and Derivatives.

Mr. Conroy co-authors (with Derek M. Bush) a chapter entitled "U.S. Regulation of International Activities of U.S. Banking Organizations" (Regulation of Foreign Banks & Affiliates in the United States, Ninth Edition, 2016). Mr. Conroy is also a co-author (with Robert L. Tortoriello and Derek M. Bush) of the Guide to Bank Underwriting, Dealing & Brokerage Activities (ThomsonReuters, 22nd ed., 2018). From 2004 to 2011, Mr. Conroy served as associate general counsel and managing director in Citigroup's Bank Regulatory Office. From 1996 to 2004, he was an associate in Sullivan & Cromwell LLP's Banking Group. He received a J.D. degree from Columbia University School of Law in 1996 and an undergraduate degree, summa cum laude, from the College of William and Mary in 1992. In 1992-93, Mr. Conroy was a Fulbright Scholar at Kanazawa University in Japan.

### **Panos Delimatsis**

Professor of Law

Tilburg University

Dr. Delimatsis is Professor at Tilburg University since 2013, where he holds the Chair of EU and International Economic Law. Since 2011, he has been the Director of the Tilburg Law and Economics Center (TILEC), an interdisciplinary Center of Excellence of some 40 researchers, the biggest of its kind in Europe, studying the governance of economic activity. He leads the research cluster 'Institutions' within the TILEC Research Programme 2018-2023 and TILEC's work on standardization, competition and innovation. In December 2016, he was awarded a prestigious Consolidator Grant of €2 million by the European Research Council (ERC). In recognition of his interdisciplinary expertise, Prof. Delimatsis was appointed twice as a Panel Member of the ERC Advanced Grants Evaluation Panel (SH1). In 2015-16, he was a visiting Scholar at Harvard Law School and a Senior Fellow with the Program on International Financial Systems.

**Kimberly DeTrask**

Executive Vice President and Treasurer  
State Street

Kimberly DeTrask is executive vice president and treasurer of State Street. She has global responsibility for balance sheet management, the investment portfolio, capital management and the Comprehensive Capital Analysis and Review (CCAR) exercises, asset liability management, liquidity risk and liability management.

Previously, Kimberly held leadership roles in Finance and Treasury, in London and Boston. Most recently, based in London, she served as chief financial officer (CFO) for State Street International and Operations & Technology and was the CFO and treasurer for Europe, Middle East and Africa prior to that. She was also a member of the Executive Management Board of State Street Bank International GmbH and had prescribed responsibilities within the United Kingdom's Senior Managers Regime, responsible for all Treasury activities and the Global Credit Finance business.

While in Boston, she was our global head of Capital Management. Her team was responsible for all baseline capital management activities covering capital policy, capital optimization, capital forecasting, sizing of dividends and common share repurchases, net investment hedging, and managing our relationships with external rating agencies. Her team also led CCAR and was responsible for capital-related aspects of our Recovery and Resolution planning activities.

Kimberly was a vice president in the Supervision, Regulation and Credit department at the Federal Reserve Bank of Boston, where she worked for 14 years, prior to joining us in 2013. At the Boston Fed, she led teams of specialists covering revenue and expense analysis and forecasting, money market mutual funds, accounting, and capital planning, and was a member of the Federal Reserve System's oversight group responsible for supervising the largest, most complex financial institutions. She held leadership positions in the 2011-13 CCAR exercises; the Supervisory Capital Assessment Program (the 2009 bank "stress test"); and was Basel II Risk Coordinator for the Federal Reserve System. Previously, Kimberly taught corporate finance at Boston College.

Kimberly holds a Bachelor of Arts degree in mathematics and economics from Canisius College and a Master of Science degree in finance from Boston College. She is also ABD in finance from Boston College. Kimberly is a Chartered Financial Analyst and serves on the Board of Trustees of Canisius College.

**C. Wallace DeWitt**

Senior Counsel  
Allen & Overy LLP

Wallace DeWitt is a senior counsel in the Financial Services Regulatory practice of Allen & Overy's Washington, D.C. office. He represents foreign and domestic financial institutions as to securities and banking regulatory matters, with a particular focus on the private wealth industry and the cross-border marketing of investment services and financial products. Before rejoining Allen & Overy in 2019, he served as Senior Advisor and Counsel to Acting Chairman and Commissioner Michael S. Piwowar of the U.S. Securities and Exchange Commission, advising

the Commissioner on matters pertaining to the Division of Corporation Finance, the Office of the Chief Accountant, the Office of International Affairs, and the Division of Enforcement.

**Sabine Dittrich**

Head, EU Financial Regulation  
Slaughter and May

Sabine heads up the EU Financial Regulation practice in our Financial Regulation Group and is also a member of our Financial Institutions Group.

Sabine has extensive experience in advising banks, insurers, market infrastructure operators, asset managers and private equity firms on a broad spectrum of prudential, conduct-related, and contentious regulatory matters. As former Global Head of Regulatory Intelligence and Head of EU Public Policy at UBS, she has more than a decade of experience in senior in-house roles in Zurich and London managing relationships, among others, with EU regulators and EU institutions and delivering executive advice on regulatory-driven risks and opportunities in the context of business transformation, corporate restructuring, regulatory change, and investigations. Her particular areas of focus in the last few years have included on sustainability, governance, and emerging technology. Previously, Sabine spent seven years in the Financial Institutions Group of another leading U.K. law firm in Germany.

Highlights of her more recent work include:

- Advising on the Brexit-related corporate and business restructuring of a leading global asset manager, third country regimes for cross-border activities and consequences of market infrastructure regulatory reform.
- Advising on strategic business, risk and governance changes as a consequence of the EU sustainable finance initiatives and TCFD, disclosure requirements and greenwashing risks.
- Advising following recent market events, on the regulatory review of the shadow banking sector, including on liquidity risks and risks stemming from money market funds.
- Advising asset managers and custodians on the use of distributed ledger technologies and investments in crypto assets.

Sabine was a member of the Board of the European Fund and Asset Management Association (EFAMA) in Brussels and of the Executive Committee of the Asset Management division of the International Capital Market Association (ICMA) in London / Zurich. She was nominated for the Women in Asset Management Awards in 2020.



**David Henry Doyle**

Vice President, Head of Government Affairs & Public Policy, EMEA  
S&P Global

David is the Head of Government Affairs & Public Policy for Europe, the Middle East, and Africa at S&P Global and serves as head of the Brussels office.

His role includes working with stakeholders on policy and regulatory matters as well as coordinating external relations across S&P Global's corporate functions and divisions: S&P Global Ratings, Platts, Market Intelligence, and S&P Dow Jones Indices.

Prior to joining S&P Global, David was Head of Financial Services at an international consulting firm specializing in financial regulation, communications, and investor relations. In 2014, he was named by Financial News as one of the 40 under 40 Rising Stars of Trading and Technology.

David began his career at a global provider compliance and payment solutions. He has worked as a research analyst with a leading Brussels based security and defense think tank advising EU and international organizations and as the Executive Director of an international foreign policy NGO.

He is the Chairman of the American Chamber of Commerce to the EU's Sustainable Finance Task Force, and serves as the Chairman of the Advisory Council for Young Professionals in Foreign Policy (YPFP).

David holds degrees in History and in International Relations from the Trinity College Dublin, University of Dublin (Gold Medal), the Sorbonne- Paris IV, and the University of Cambridge.

**Matthew Ekberg**

Senior Advisor, Regulatory Affairs  
Institute of International Finance

Matthew L. Ekberg serves as Senior Advisor for Regulatory Affairs at the Institute of International Finance (IIF). Mr. Ekberg is responsible for leading regulatory engagement on matters concerning standards of the Basel Committee on Banking Supervision (BCBS), the Financial Stability Board (FSB), the Financial Action Task Force (FATF) and regional and national banking supervisory authorities in the policy areas of prudential capital and liquidity risk management, conduct, governance, and financial crime/economic sanctions. He led the IIF London Office from 2017 to 2020 and serves as IIF sherpa to the B20 Finance and Infrastructure Taskforces under the G20 Presidencies. He is an Advisory Board member for the Future of Financial Intelligence Sharing (FFIS) Project organized through the Royal United Services Institute (RUSI) in London and is a Steering Group Member for the Europol Financial Intelligence Public Private Partnership (EFIPPP) in The Hague.

**Jesus Elguea Palacios**  
EU Regulatory Affairs Manager  
Bloomberg LP

Jesus Elguea Palacios is a Senior Policy & Regulatory Specialist at Bloomberg LP, where he provides strategic advice to product and legal teams to manage regulatory risks and opportunities presented by EU and global policy developments in market structure, data and AI rules. Prior to joining Bloomberg, Jesus was a senior EU Law and Policy Advisor at a *magic circle* law firm in Brussels, where he worked on a wide array of issues ranging from EU banking and capital markets regulation, cryptocurrencies and sustainable finance to consumer protection, judicial remedies and sanctions. He graduated both in History and in Legal Studies, and holds a MA in International Affairs, a MA in European Affairs, and a Masters in Law.

**Colin Ellis**  
Global Credit Strategist, Managing Director, Credit Strategy  
Moody's Investor Service

Colin Ellis is Moody's Chief Credit Officer in EMEA, and a Managing Director in the Credit Strategy team. He is also the 'Head of UK' for MIS, responsible for the running of Moody's UK operations.

In his CCO role, Colin is responsible for identifying and analyzing the broad macro and credit trends, and leading and coordinating Moody's ratings approach, across franchises in the region. He is also a member of Moody's Macroeconomic Board, which sets the global forecasts that underpin Moody's universe of ratings.

Colin joined Moody's in February 2012, initially leading the work of the Macroeconomic Board and authoring the quarterly Global Macro Outlook. He subsequently worked in the Financial Institutions Group, developing quantitative forward-looking analyses, including modelling asset trends and stress testing.

Previously Colin has worked at the Bank of England, Daiwa Capital Markets and the BVCA. Colin is a Visiting Research Fellow in the economics department at Birmingham University, and has published on topics ranging from investment and pricing to private equity and data uncertainty. He is also a Fellow of the RSA.

Colin holds degrees from York University, the London School of Economics and Political Science, and Middlesex University. He is based in London.

**Adam Farkas**  
Chief Executive Officer  
The Association for Financial Markets in Europe (AFME)

Adam Farkas is the Chief Executive Officer of AFME. He joined the Association in February 2020 and is responsible for shaping its overall strategy. Adam was also appointed Chief Executive Officer of the Global Financial Markets Association (GFMA) in March 2022, coordinating industry views on key global regulatory issues. Adam also sits on the Board of

TheCityUK, an industry-led body representing UK-based financial and related professional services. Before joining AFME, Adam was the Executive Director of the European Banking Authority (EBA) from April 2011 until the end of January 2020 where he managed the organization's daily operations and led the implementation of its regulatory work program. Between 2011 and 2019, he represented the EBA on the Basel Committee on Banking Supervision. Prior to joining the EBA, he acted as the Executive Chairman of the Hungarian Financial Supervisory Authority from 2009 to 2010 where he spearheaded the Authority's response to the financial crisis. His other previous positions include CEO for Allianz Bank from 2006 until 2009 and co-CEO of CIB Bank (Intesa Sanpaolo Group) between 2002 and 2005. Between 1997 and 2001, he was Managing Director and Member of the Board at the National Bank of Hungary. Adam started his career as an Assistant Professor at the Budapest University of Economic Sciences (subsequently renamed Corvinus University of Budapest). He was also a consultant to various financial institutions in Budapest and London, including the European Bank for Reconstruction and Development (EBRD) between 1991-1997. Adam holds a doctorate degree in Finance from the Budapest University of Economic Sciences, and a Master's in computer-based simulation and modelling from Sunderland University in the UK.

### **Stephen Fisher**

Co-Head of the Global Public Policy Group in EMEA  
BlackRock

Stephen Fisher is the Co-Head of the Global Public Policy Group in EMEA leading public policy for Continental Europe and the Middle East.

In this role Stephen works to support the creation of regulatory regimes that increase financial market transparency, protect investors, and facilitate responsible growth of capital markets, while preserving consumer choice and properly balancing benefits versus implementation costs. This is an integral part of BlackRock's fiduciary purpose.

In addition, Stephen is a Board Member of the International Capital Markets Association (ICMA), Co-Chair of ICMA's Regulatory Policy Committee, and an active member of various regulatory and industry advisory groups covering capital markets and sustainable finance in Europe and the Middle East.

Prior to joining BlackRock in 2010, Stephen established the Dutch Banking Association's Brussels office and was Financial Markets Advisor at the European Banking Federation. Before his ten years in Brussels, Stephen worked in London at the Financial Services Authority and HM Treasury in a range of supervisory, enforcement and regulatory policy roles.

Stephen earned a first-class Honours Degree in Social Anthropology and Spanish from the University of St Andrews and Masters' Degree in Finance and Financial Law from the University of London. He also holds the Investment Management Certificate. Stephen has lived and worked in several European countries and speaks a number of European languages. He is active in the community where he lives, is increasingly involved in fundraising for a range of national and local charities and currently serves as Trustee of the Faversham Municipal Charities.

**Urban Funered**

Chief Executive Officer

Swedish Securities Markets Association

Urban Funered is the Chief Executive Officer of the Swedish Securities Markets Association, an association founded in 1908 and representing 24 banks, investment banks and brokers active in Swedish securities markets, since February 2019.

Urban Funered has more than 30 years of experience from the financial services sector and has held senior positions in law firms, investment banks and asset managers in Stockholm, New York, Vienna, and London. He has also held senior positions in the Swedish Ministry of Finance and the Swedish Permanent Representation to the EU in Brussels.

Urban Funered has an academic background in law as well as business administration, with Masters and Post-Graduate Degrees in Civil, Banking and Financial law from the University of Lund and Queen Mary & Westfield College of London University, and a Bachelor's Degree in Business Administration and Economics from the School of Economics and Management at Lund University.

Prior to joining the Swedish Securities Markets Association, Urban Funered held positions as Director of Public Policy at Fidelity International in London and Vienna (2014 – 2019), Minister and Financial Services Attaché at the Swedish Permanent Representation to the EU (2010 – 2014), State Secretary, Senior Adviser and Special Adviser at the Swedish Ministry of Finance (2007 – 2010), Group Compliance Officer at Carnegie Investment Bank (2005 – 2007), Legal Counsel in the Corporate Finance Department at Carnegie Investment Bank (1999 - 2005), Legal Counsel with the Law Firms Vinge in Stockholm and Skadden Arps Meagher & Flom in New York (1993-1999), Researcher and Lecturer in Law at Lund University (1990 - 1993) and Judge Clerk in the Swedish Civil and Administrative Courts (1988 – 1990).

**Stefan M. Gavell**

Vice-Chair of Executive Education

Program on International Financial Systems (PIFS)

Stefan Gavell was previously Executive Vice President and Global Head of Regulatory, Industry and Government Affairs for State Street Corporation with responsibility for global regulatory, industry and government relations. In this position, he was responsible for representing State Street with regulators, legislators and other policymakers worldwide.

Mr. Gavell has supported and been actively involved in the Program on International Financial Systems as a speaker and moderator for more than 10 years.

Representing State Street, and in conjunction with leading industry associations, Mr. Gavell successfully advocated on legislative and regulatory issues, in the US, Europe, and Asia, on banking, securities and asset management matters. Mr. Gavell has deep experience in financial markets, market regulation and governance as a result of his close to 40-year career and held numerous positions at State Street, including as Treasurer, acting CFO, and Head of International Global Markets, and held expatriate assignments in Hong Kong, Japan, the UK and France. He previously held positions as President of State Street International Holdings, and on the Board of State Street Global Markets, LLC. He served on numerous governance committees including management, financial policy, asset and liability, compliance

and conduct, and numerous banks, investment management, and securities subsidiary boards. He joined State Street in 1990 as General Manager in Japan.

Mr. Gavell is a member of numerous professional bodies, including long tenure as President of the American Bankers Association Securities Association. He currently serves on the board of the Global Financial Markets Association and is a frequent speaker on banking and financial markets topics at conferences and seminars.

Stefan received his B.A. in Economics and Government from Oberlin College and his M.B.A.

### **Wolfgang Gerken**

Executive Director, EMEA Regulatory Affairs  
JP Morgan SE

Wolfgang is an Executive Director in the EMEA Regulatory Affairs team at J.P. Morgan SE in Frankfurt focusing on international regulatory developments in the prudential framework as well as related developments in the sustainable finance area.

With more than 25 years of experience in banking, advisory and bank regulation and supervision, his current activities comprise analysing relevant new regulatory initiatives in Europe and at international levels. His work serves dual purposes, on the one hand to analyse and determine the firm's own position vis-à-vis new initiatives and on the other hand to foster an early dialogue with policymakers and actively participate in industry consultations.

He previously held a range of roles covering regulatory and supervisory roles in both private and public sector entities, inter alia, as Head of Section in the Single Supervisory Mechanism (SSM) at the European Central Bank and Head of Basel Unit at the Central Bank of the UAE. He also worked as a consultant for financial services, as regulatory officer at a trade association in Germany and as Head of Prudential Regulatory Affairs at a large Nordic bank prior to joining J. P. Morgan. He is a member of the European Banking Authority's (EBA) Banking Stakeholder Group.

Wolfgang is a certified banker and holds a degree in Business Economics.

### **Apostolos Gkoutzinis**

Partner  
Milbank

Apostolos Gkoutzinis is a leading international capital markets lawyer and a partner in the firm's Global Capital Markets Group in London.

Ranked as a top tier practitioner by Chambers & Partners and Legal 500 UK, he is one of the most widely respected advisers in his field globally. Focusing on US securities laws and international debt and equity offerings, he covers an exceptionally broad practice across international corporate, capital markets and finance transactions. His experience includes advising on IPOs, international rights offerings and other equity offerings, high-yield and investment-grade bond offerings and private placements, large acquisition financings, tender offers and other liability management exercises, M&A transactions, NPL portfolio sales, restructurings, recapitalizations and privatizations.

In addition to advising on a spectrum of headline transactions globally, Apostolos is one of the most prominent international lawyers in relation to Greece and leads one of the strongest Greek practices available in the market. His track record on post-financial crisis Greek deals is unsurpassed, providing strategic legal advice to most of the major financial and strategic investors in Greece and most of the major banks and listed companies of the country. As well as advising private companies and foreign investors on matters involving private and public capital, restructurings and refinancings, since 2005, Apostolos has also represented the issuers or the underwriters in most of the international and cross-border equity and debt offerings by Greek issuers.

### **Stefan Gmuer**

Executive Vice President; Global Head Official Institutions  
State Street Holdings Germany GmbH

Stefan Gmür is executive vice president and chief executive officer for State Street Bank International GmbH, Munich. He is also global head of the Official Institutions segment.

Previously, Stefan was head of sector solutions for Europe, Middle East and Africa, where he was responsible for sales, cross-sell to existing clients, mergers and acquisitions, and large-deal activity across all State Street's client sectors.

Stefan joined us in 2002 with 15 years of experience in new business development securities operations, client service, cash management, trade finance, equity trading, securities lending, relationship management and marketing.

Before joining State Street, Stefan held senior-level positions at Bank Leu AG, JP Morgan and The Bank of New York and Deutsche Bank.

### **Ana Grbec**

Partner  
Milbank LLP

Ana advises on public and private capital markets and finance transactions with a focus on high yield bonds, equity capital markets and cross-border securities offerings across a broad range of sectors and jurisdictions. She has advised and represented issuers, financial institutions and underwriters on high yield bond offerings, investment grade offerings, initial public offerings, rights issues, bank regulatory capital offerings, liability management exercises, mergers and acquisitions, general corporate matters and US securities laws. Ana is a native Italian and Slovenian speaker.

**Alberto de Gregorio**  
Director at the Legal Service  
Council of the EU

Alberto is the Director at the Legal Service of the Council of the EU, in the directorate dealing with economic, financial and EU budget affairs. He is the Legal Advisor to Ecofin and to the Euro Group, to the Economic and Financial Committee and to the Eurogroup Working Group. He is legal advisor to Coreper. He also provides legal adviser to the cabinet of the president of the European Council.

He represents the Council and the European Council before the Court of Justice (for instance, cases Pringle, Banco Popular cases, or more recently the actions brought by Poland and Hungary against the new mechanism on budgetary conditionality/rule of law).

He is frequent speaker in conferences and seminars (f.i., institutional rapporteur of the 2016 FIDE congress). He publishes frequently in EU law academic reviews (such as the Common Market Law Review) and collective works (most recently, The EU law of economic and monetary Union, Oxford University Press, 2020 and EU law in times of pandemic, EU law live press). He has his Law Degree from the University of Salamanca (Spain); LL.M College of Europe, Bruges.

**Bill Grimes**  
Associate Dean for Academic Affairs, Professor of International Relations and Political Science  
Boston University

William W. Grimes is a Professor of International Relations and Political Science at the Frederick S. Pardee School of Global Studies at Boston University, where he has taught since 1996. He previously served as Associate Dean for Academic Affairs, Chair of the Department of International Relations, and Founding Director of the BU Center for the Study of Asia. He has also spent time as a post-doctoral researcher and as a visiting assistant professor at Harvard University.

Professor Grimes is the author of *Unmaking the Japanese Miracle: Macroeconomic Politics, 1985-2000* (Cornell University Press, 2001) and *Currency and Contest in East Asia: The Great Power Politics of Financial Regionalism* (Cornell University Press, 2008), as well as co-editor (with Ulrike Schaede) of *Japan's Managed Globalization: Adapting to the 21st Century* (M.E. Sharpe, 2002). *Currency and Contest in East Asia* was awarded the 2010 Masayoshi Ohira Prize for outstanding book on the Pacific Basin and received an Honorable Mention in the competition for the Asia Society's Bernard Schwartz Book Award in 2009. He has published articles, book chapters, monographs, and commentary on East Asian financial regionalism, the impacts of financial globalization in Japan, Japanese monetary policy making, US-Japan relations, and related topics. His current research focuses on the effects of the 2008-9 global financial crisis on regional cooperation and on financial innovation in post-developmental East Asian economies.

Professor Grimes has spent time as a visiting researcher at the Japanese Ministry of Finance, the Bank of Japan, and several universities in Japan and Australia. He has been the recipient of various fellowships and awards over the years, including two Fulbright fellowships and two

grants from the Japan Foundation Center for Global Partnership. He is a life member of the Council on Foreign Relations and has been a Research Associate of the National Asia Research Program.

Professor Grimes received his B.A. in East Asian Studies from Yale University, his M.P.A. in International Relations from the Princeton University School of International and Public Affairs, and his Ph.D. in Politics from Princeton University.

## **Francis Gross**

Senior Advisor

European Central Bank

Francis Gross is Senior Adviser in the Directorate General Statistics of the European Central Bank.

Francis' main interest lies in developing vision, sustainable conceptual design and strategy for overcoming the dual disruption of rapid globalization and digitization. The focus lies on measurement. The crisis taught us that we need to build measurement tools that will be effective at the scale and speed of finance also in 20-30 years, i.e. global and real time, especially in a crisis. The immediate aim is to design and drive the implementation of concrete, feasible measures with transformational power.

The underlying strategic credo is that to achieve that goal we must make the world more measurable. A simple first step is to build global data infrastructures that make global standards real for all. For that, authorities and the private sector must work together, globally, separating areas for cooperation from those for competition.

Francis' immediate focus lies on the "real world - data world" interface, beginning with object identification, specifically legal entities. He serves on the Regulatory Oversight Committee of the G20-backed Global Legal Entity Identifier System (GLEIS) and has been instrumental from the start in the emergence and development of the GLEIS.

Prior to joining the ECB in 2001, Francis spent fifteen years in the automotive industry, eight of which at Mercedes, working mainly on globalisation, strategic alliances and business development.

He holds an engineering degree from École Centrale des Arts et Manufactures, Paris, and an MBA from Henley Management College, UK.

## **Ulf Grunnesjö**

Head of Strategy

SEB

Ulf was appointed Head of Strategy for the SEB Group in January 2023 after managing a full transformation and restructuring of SEB's German operations as the German CFO/COO. He joined SEB in 1994 and began with building up SEB's risk management, initially within the wholesale banking division and thereafter for the SEB Group as Head of Group Risk Control. After five years he was promoted to head Group Financial Planning, the department in charge of the Group's Asset and Liability Committee and group-wide financial analyses and control. During this period, Ulf was responsible for SEB's Basel II development and implementation, and



the Group's representative to the industry's domestic and international working groups, including ISDA and IIF. The involvement in regulatory affairs continued as he became head of investor relations in 2006 and subsequently an associate of the European Financial Services Round Table and member of the European Banking Group. In 2015 Ulf was appointed CFO of SEB's Life Division and in 2016 he moved to Frankfurt to lead the strategic reorientation of SEB's business in Germany, Austria and Switzerland.

## **John Gulliver**

Executive Director

Program on International Financial Systems (PIFS)

John Gulliver is the Executive Director of the Program on International Financial Systems. John oversees all of PIFS' activities, including research, events, and training programs for financial regulators. PIFS' research focuses on policy issues impacting the global financial system, such as equity market structure, liquidity in U.S. Treasury markets, stress testing large banking institutions and the rise of cloud computing. John drafts PIFS' reports and formulates policy recommendations with PIFS' President, Hal Scott. PIFS' events include international symposia, US-Japan (25 years), US-China (19 years), US-Europe (20 years).

In addition to hosting the symposia, John works with PIFS' partners and sponsors to determine the topics, speakers, and participants at each private off-the-record event. PIFS also delivers several training programs for financial regulators, including two programs in partnership with the International Organization of Securities Commissions and a program for financial regulators in China. John collaborates with academics, government officials and private-sector experts to determine the policy issues of focus for each program and designs the curriculum.

## **Janina Heinz**

Counsel

Freshfields Bruckhaus Deringer

Janina focuses on German and European prudential banking and financial services regulation. She also advises on capital market compliance, commercial banking and general corporate law.

Her clients include banks, other financial institutions and unregulated entities in the Eurozone. She provides specialist advisory support and works on M&A transactions in the financial services sector. Janina also advises clients with regard to administrative proceedings before financial supervisory authorities and in the context of external and internal investigations. Having worked as legal counsel for the European Central Bank (Banking Supervision), Janina has particular expertise in Banking Union matters and is familiar with the supervisory practices of the ECB.

Janina has been seconded to the Regulatory Change Management team at an international bank in London advising on the implementation of MiFID2 and recovery and resolution matters. She has also worked in the financial institutions group in our London office.

**Valerie Herzberg**

Deputy Director General Financial Stability  
Deutsche Bundesbank

Valerie Herzberg is the Deputy Director General for Financial Stability at the Deutsche Bundesbank. Previously, she was the Head of International Relations at the Central Bank of Ireland. She has also served as economic advisor to Vice President of the European Commission Jyrki Katainen and President of the European Council Herman van Rompuy. She was a senior member of St. Antony's College, Oxford in 2013-14, contributing to the Political Economy of Financial Markets programme. After graduation from the London School of Economics she spent ten years as economist at the Bank of England and was later at the International Monetary Fund. She has published widely on European financial and integration issues.

**Alan Houmann**

Managing Director, Head of Government Affairs, EMEA  
Citi

Alan Houmann is the Managing Director and Head of Citi's government affairs team for Europe, Middle East and Africa (EMEA). He is a member of Citi's EMEA Operating Committee (OpCo) and a senior sponsor for DE&I. Alan has a broad background in financial services policy making. He joined Citi in 2007, from the Committee of European Banking Supervisors (CEBS, which has since been re-established as the European Banking Authority). Alan has also been an adviser on international banking policy and supervisory cooperation at the UK Financial Services Authority (FSA); served in the European Commission, in the directorate for economic and financial affairs, and in the unit leading the introduction of the euro. Alan has also been a member of the secretariat of the EU's Economic and Financial Committee (EFC). Alan started his career as an economist with HM Treasury. Alan has masters degrees in international studies and philosophy from the University of St. Andrews, and in economics from the University of London.

**Kinga Huzarski**

Head of PRA Authorizations Policy  
Bank of England

Kinga Huzarski currently heads the Authorisations Policy Team at the Bank of England. While at the Bank she worked in supervision and on the key pieces of financial policy affecting the UK since the financial crisis: too big to fail, structural reform and Brexit. Prior to joining the Bank she worked at FRBNY, Boston Consulting Group, UBS fixed income and was part of Gordon Brown's National Economic Council during the financial crisis. She holds an MPP from Harvard's Kennedy School of Government and a B.A. in Economics and Spanish Literature from Rutgers College, Rutgers University.

**Sven Kasper**

International Head of Regulatory, Industry and Government Affairs  
State Street

Sven is a Senior Vice President and International Head of Regulatory, Industry and Government Affairs. As such, he is responsible for regulatory and government relations as well as policy development across all of State Street's businesses in Asia-Pacific and Europe, the Middle East and Africa (EMEA). He and his team monitor, analyze and provide updates about all relevant policy and regulatory developments that may impact directly or indirectly the company. Sven also regularly contributes to the work of relevant industry associations on behalf of State Street, actively engages with EU and global stakeholders to raise views and provide technical expertise on issues of importance to State Street and its clients, and speaks frequently at various public stakeholder events.

In addition, Sven leads State Street's corporate-wide Brexit program which coordinates the creation and execution of the organization's Brexit plans bringing together senior representatives from all business units and functions.

He is also a member of the board of State Street International Holdings, the holding company for State Street's principal international subsidiaries.

Prior to joining State Street in April 2010, Sven was Head of EU Public Affairs, Funds at Prudential plc in London representing the company's position in asset management related issues. Sven started his career at UBS both in Zurich and in London where he was Associate Director for European Government Affairs.

Sven is a graduate of St. Gallen University in Switzerland with a doctorate in International Affairs. A German national, Sven is married and the father of one daughter.

**Patrick Kenadjian**

Adjunct Professor, Institute for Law and Finance  
Goethe University, Frankfurt

Patrick is currently an Adjunct Professor at the Goethe University in Frankfurt am Main, Germany, where he teaches courses in the law and finance programs of the University : s Institute for Law and Finance.

Since 2012, Patrick has co-chaired eleven conferences at the University on the future of the financial sector, including the need for and design of resolution regimes for banks, insurance companies and CCPs and other potential solutions for "too big to fail", the proposed European Capital Market Union, the importance of culture and ethics in financial institutions, the final agreement on Basel III, green banking and green central banking, data, digitalization, decentralized finance and central bank digital currencies and, most recently, on what might cause the next systemic financial crisis. Since 2015 he has served on the Advisory Council to the Salzburg Global Forum on Finance in a Changing World where he served as Program Director in 2013 and 2014.

Patrick is also Senior Counsel at Davis Polk & Wardwell London LLP. He was a partner of the firm from 1984 to 2010, during which time he opened the firm's Tokyo and Frankfurt offices in 1987 and 1991, respectively and spent over 25 years in its European and Asian offices.

### **Connor Kortje**

Senior Research Fellow

Committee on Capital Markets Regulation

Connor Kortje joined the Committee on Capital Markets Regulation as a senior research fellow in October 2021. Before joining the Committee, Connor practiced tax law at Ropes & Gray in Boston, where he advised asset managers, financial institutions, and operating companies on domestic and international tax matters. Connor holds a J.D. from Harvard Law School (2015) and a B.A. from the University of Toronto (2010).

### **Nicolas Kügler**

Vice President, Head of External Affairs (EMEA) Government and Regulatory Affairs  
MSCI

As Head of External Affairs (EMEA) - Government and Regulatory Affairs at MSCI, Nicolas seeks to anticipate and understand the impact of legislative and regulatory developments on MSCI and its clients. He is based in Brussels, Belgium.

Over the last 12 years, Nicolas gained extensive experience, working on financial services policy and regulatory issues in Brussels, Belgium and London, United Kingdom. He is specialized in working on EU-US public policy and regulatory issues.

Before joining MSCI, he worked at Western Union as Public Policy Europe Group Leader. Prior to that he set up and managed the Intercontinental Exchange's Brussels representative office. In his capacity as EU-Affairs Lead, he was responsible for liaising with EU institutions on policy and regulatory matters. He started his professional career at Bank of America in Brussels as an in-house government affairs specialist covering the EMEA region.

Nicolas holds a French-German double degree in European Business Administration from Reims Management School and the European School of Businesses in Reutlingen as well as a Master in European Economics from the College of Europe, Bruges, Belgium.

Besides his native German, he speaks English, French and Dutch.

**Katja Langenbucher**

Professor of Law, House of Finance  
Goethe-University

Katja is a law professor at Goethe-University's House of Finance in Frankfurt. She is also an affiliated professor at Ecole de Droit de SciencesPo, Paris, and has been visiting faculty at Fordham Law School, NYC, for five years. She has held visiting positions at Paris I, Sorbonne; Wirtschaftsuniversität, Vienna; the London School of Economics, London; Columbia Law School, NYC, and PennLaw, Philadelphia (Bok Visiting International Professorship).

Katja has published extensively on corporate, banking and securities law. Currently she is working on two main projects, covering algorithmic discrimination as well as privacy in Fintech credit scoring and failures of traditional corporate governance in corporate scandals ranging from Enron over Theranos and Greensill to Wirecard.

She is a member of the German BaFin's supervisory board (Verwaltungsrat), of the German Federal Ministry of Finance's working group on capital markets law and of the Conseil d'administration of the Fondation Nationale de Sciences Politiques. Katja was a member of the supervisory board of Postbank (2014-18) and of the EU Commission's High-Level Forum on the Capital Market Union (2019-20).

**Sandrine Lapinsonniere**

Director, Public Policy  
ISDA

Sandrine Lapinsonnière is a Director in the EU Public Policy team at the International Swaps and Derivatives Association (ISDA), based in Brussels. She works on capital and banking regulatory issue as well as resolution.

Prior to this, she worked as a senior consultant advising clients on European policy and regulatory developments.

She hold a Masters Degree in Public Affairs from the French 'institut des Sciences Politiques' (Sciences Po Paris).

**Rosa Lastra**

Sir John Lubbock Chair in Banking Law, Centre for Commercial Law Studies  
Queen Mary University of London

Professor Dr Rosa María Lastra is the Sir John Lubbock Chair in Banking Law and Chair of the Institute of Banking and Finance Law the Centre for Commercial Law Studies (CCLS), Queen Mary University of London. She is a member of the Monetary Committee of the International Law Association (MOCOMILA), founding member of the European Shadow Financial Regulatory Committee (ESFRC), research associate of the Financial Markets Group of the London School of Economics and Political Science, member of the European Banking Institute (EBI), member of the European Law Institute (ELI), member of the Sovereign Debt Scoping Forum of the FMLC (Financial Markets Law Committee), member of the International Insolvency Institute, member of the British Insurance Law Association and member of SUERF

(the European Money and Finance Forum). She has served as a consultant to the International Monetary Fund, the European Central Bank, the World Bank, the Asian Development Bank, United Nations (UNCTAD) and the Federal Reserve Bank of New York. From November 2008 to June 2009 she acted as Specialist Adviser to the European Union Committee [Sub-Committee A] of the House of Lords regarding its Inquiry into EU Financial Regulation and responses to the financial crisis. She is a member of two expert panels of the European Parliament: the Monetary Panel since 2015 and the Banking Union (Resolution) Panel since 2016. She is a member of Unidroit's Working Group on Bank Insolvency since 2021. She has contributed as expert witness in international arbitration cases.

In 2021, Professor Lastra acted as specialist adviser to the House of Lords in an inquiry on the Quantitative Easing (QE) programme of the Bank of England, conducted by the House of Lords Economic Affairs Committee (ECAM) chaired by Lord Forsyth of Drumlean [[The inquiry terms of reference are available online](#)]. In 2022, Professor Lastra has been working in close collaboration with the IMF's Legal Department in examining key legal issues on a topic—climate—at the forefront of the IMF's agenda; the collaboration builds on a long-lasting intellectual partnership covering several legislative developments, initiatives, and policy proposals (including on legal issues related to Brexit, bank insolvency and resolution, public debt, central banking, and bank regulation).

She studied at Valladolid University, Madrid University, London School of Economics and Political Science and Harvard Law School (Fulbright Fellow). Her publications include numerous articles in internationally refereed journals and several books.

### **Caroline Liesegang**

Managing Director and Head of Prudential Regulation, Sustainable Finance and Research  
The Association for Financial Markets in Europe (AFME)

Caroline Liesegang is the Head of Prudential Regulation, Sustainable Finance and Research at AFME since March 2022. Before joining AFME, Caroline was the UK Chief Risk Officer of Commerzbank London Branch from 2018 until February 2022. Caroline also held a global management role in Commerzbank Group for stress testing and scenario analytics from December 2017 until February 2022 as part of the Groups' capital management. Prior to joining Commerzbank, Caroline held a Deputy Head of Division position in the ECB's Single Supervisory Mechanism leading quantitative and systemic risk analyses and supervisory methodology development for indirectly supervised firms. During that time Caroline also assumed a management role during the SSM Comprehensive Assessment in 2014, and represented the SSM among others in the ESRB macroprudential assessment group. Between 2013 and 2014, Caroline worked for the EBA as Senior Stress Testing Expert coordinating the EU-wide stress test. Caroline started her career at Deutsche Bundesbank in 2003 holding positions in on-site and off-site banking supervision, micro-and macroprudential analysis and research. She represented Deutsche Bundesbank in international working groups from 2008, among others as member of the research task force of the Basel Committee on Banking Supervision and CEBS/EBA task forces. Caroline holds a BSc from Deutsche Bundesbank University in Business Administration and Central Banking and a MSc in International Economics and Economic Policy from Goethe University Frankfurt.

**Antonio Luca Riso**

Head of Section at the ECB Legal Services  
European Central Bank

Antonio Luca Riso is Head of Section at the ECB Legal Services, where among other responsibilities he coordinates legal research activities. Antonio joined the ECB Legal Services in 2008 and worked in the Supervisory Policy Division between 2015 and 2021. Between 2017 and 2019 he was seconded to the EU Commission. Antonio holds a Law degree from the University of Perugia and a PhD in international Law and Economics from Bocconi University as well an LLM in International Law and Finance from Goethe University and is a member of the Italian bar. His publications focus on EU and banking law.

**Martin Lueck**

Chief Investment Strategist for Germany, Austria, German-speaking Switzerland and Eastern Europe  
BlackRock

Dr. Martin Lück is BlackRock's Chief Investment Strategist for the German-speaking region and Eastern Europe. In this role, he serves as the firm's main spokesperson regarding macro and investment topics. In close cooperation with colleagues from all over the world, he works on delivering the variety and depth of BlackRock's investment analysis to clients in the region. In this, being an integral part of the BlackRock Investment Institute (BII), the firm's proprietary think tank, plays an important role.

Martin Lück has worked as an investment economist and strategist for more than 25 years, after he started off as a junior banker and finished his education with a PhD in economics. Before he joined BlackRock in 2015, he was UBS Germany's Chief Economist and part of UBS Investment Bank's economists team. Previous posts include tenures at Kepler (formerly Julius Bär brokerage), Crédit Agricole Cheuvreux, Schröder Münchmeyer Hengst as well as NG Bank in Hannover where he started his career more than 35 years ago.

**Kerstin Mathias**

Director, Regulatory Affairs and Policy  
City of London Corporation

Kerstin Mathias is Regulatory Affairs and Policy Director of the City of London Corporation where she leads the City's work on UK and EU policy, global trade and sustainable finance. Previously she was Head of Policy at TheCityUK and held roles at Germany's Permanent Representation to the EU and the Konrad-Foundation. Kerstin holds degrees in Public Policy and European Politics from the London School of Economics at the University of Passau.

**Sabine Mauderer**

Member of the Executive Board  
Deutsche Bundesbank

Dr Sabine Mauderer has been a Member of the Executive Board of the Deutsche Bundesbank since September 2018. She is responsible for the Directorates Markets and Human Resources. Additionally, she is a member of the Exchange Experts Commission, the Central Capital Market Committee, and the Steering Committee of the "Central banks and Supervisors Network for Greening the Financial System (NGFS)". Prior to her current responsibilities, she held several positions at the KfW Banking group, e.g. Head of Banking Sector Restructuring and Head of Auditing, Risk Management, IT and Major Projects. Before that, she worked as a senior adviser at the Federal Ministry of Finance.

She was born in Schleswig in 1970. Sabine Mauderer graduated in law, holds a PhD from Osnabrück University and an Executive MBA from the ESSEC & Mannheim Business School.

**Jelena McWilliams**

Partner  
Cravath, Swaine & Moore LLP

Jelena McWilliams is Managing Partner of the Washington, D.C. office and Head of Financial Institutions Group (FIG) Practice. She is a former Chairman of the Federal Deposit Insurance Corporations (FDIC). Ms. Williams focuses her practice on advising public and private companies, financial institutions, fintechs, and early stage and venture companies on regulatory and corporate matters, as well as on mergers and acquisitions, IPO and other capital market transactions.

Ms. McWilliams is a frequent speaker on trends and topics in the financial services sector, including bank regulatory policy, governance trends, digital assets, fintechs and early-stage company regulatory policy. She is a member of the Economic Council of Washington, D.C., and serves on the Board of Trustees of the Southwestern Graduate School of Banking Foundation at Southern Methodist

Ms. Williams was born in Belgrae, Serbia. She received her B.A. with highest honors from the University of California, Berkeley in 1999 and her J.D. from University of California, Berkeley, School of Law in 2002.

**Caroline Meinertz**

Partner, Head of Financial Regulation in London  
Clifford Chance

Caroline advises many of the world's leading financial institutions (with a particular focus on global transaction services banks) on the implications of financial services regulation and law on their businesses.

Named one of the most influential female executives working in financial services by Financial News for the fourth year running and listed as a leading individual in the Legal 500 UK 'Financial



Services: Non-Contentious Regulatory' rankings, Caroline is a leading voice across the full spectrum of financial regulation, and has been at the forefront of providing strategic Brexit advice to a number of key global financial institutions. Caroline is a member of the Council of the International Regulatory Strategy Group advising the City of London Corporation and TheCityUK. Caroline also sits on Clifford Chance's Global Financial Markets Strategy Group and is one of the founding members of TheCityUK's Anglo German Financial Services Dialogue.

### **David Mercer**

President and Chief Executive Officer  
LMAX Group

David Mercer is the Chief Executive Officer of LMAX Group – a global financial technology company and leading independent operator of institutional execution venues for FX and crypto currency trading.

David is a former City banking executive and currency specialist, with an extensive track record of building profitable, innovative, high growth businesses, ranging from start-ups to turn arounds of growth-stage companies.

Following a successful management buyout in 2013, David has built LMAX Group into a key player in both the traditional capital markets and the crypto trading industry. LMAX Group has a global client base and offices in 9 countries. In 2021, LMAX Group sold a minority stake to J.C. Flowers & Co.

Prior to joining LMAX Group, David was CEO at Cantos, Non-Executive Director at Velocity Trade and COO of the Emerging Markets business at Credit Suisse. He has also held senior executive roles at IFX Markets and Saxo Bank (Synthesis Bank) in London, Geneva and Sydney. David started his financial services career in the Banking and Regulatory division of Ernst & Young in 1989.

### **Martin Merlin**

Director, Banking, Insurance and Financial Crime; Director General for Financial Services and Capital Markets Union  
European Commission

He started his career at the French Treasury in the Department of International Monetary and Financial Affairs. He then joined the Commission and since then has worked consistently across the various domains of Financial Services including positions in the Commissioner's Cabinet, as Head of Unit coordinating Financial Services Policy and as Director of Financial Markets until his current position as Director, Banking, Insurance and Financial Crime. He represents the Commission at the Basel Committee on Banking Supervision, on the Board of Supervisors of both EBA and EIOPA, in the Financial Stability Board and in the Financial Action Task Force (FATF/GAFI). Martin received his Master's Degree in Political Science/ International Affairs and Philosophy.

**Jakub Michalik**

Acting Head of Governance and External Affairs Department  
European Securities and Markets Authority (ESMA)

Jakub Michalik joined the European Securities and Markets Authority (ESMA) in 2014, where he has been responsible for coordination of ESMA's inter-institutional and international affairs, governance and strategy as well as communication teams.

From 2011 to 2014, Mr. Michalik served as a parliamentary assistant to MEP Wolf Klinz on the Committee on Economic and Monetary Affairs (ECON) of the European Parliament. Prior to joining the European Parliament, Mr. Michalik worked extensively on compliance and implementation matters, including both wholesale and retail business areas, within private sector.

Mr. Michalik earned his PhD in Law from University of Vienna and his Magister in Law from University of Graz. Mr. Michalik holds the professional qualification as a solicitor and is an author and a contributor to a number of articles in the area of banking and capital markets regulation.

**Markus Nielsen**

Head of Strategy & Regulation  
BaFin

Since 2016 – German Federal Financial Supervisory Authority: Head of Strategy & Regulation - Securities Supervision I Asset Management

Since June 2022: Head of Policy Issues / Secondary Markets - Securities Supervision I Asset Management

March 2022- June 2022: Personal Adviser to Executive Board Member I Resolution & AML

August 2020 – March 2022: Head of Policy & Committees Division

November 2019 – March 2022: Deputy Head of Policy & Committees Division

January 2019 – October 2019: Deputy Head of Resolution Law Division

September 2016 – December 2018: King & Wood Mallesons LLP – Attorney at Law, Associate

July 2015 – September 2016: Banking & Finance, Mannheimer Swartling Advokatbyrå AB – Attorney at Law, Associate

April 2011 – June 2015: Banking & Finance

**Karen Northey**

Director of Corporate Affairs  
The Investment Association

Karen leads the Corporate Affairs function at the Investment Association, which includes communications, digital, UK government affairs and European affairs.

Before joining the IA in March 2022, Karen was Head of Government Relations and Regulatory Strategy, Asia Pacific at the London Stock Exchange Group.

Previously, Karen worked at the Financial Conduct Authority where she held a number of roles including leading the FCA's international relations function and the asset management and funds policy team.

**Sven Oehme**

President and Chief Executive Officer  
European-American Business Organization Inc

Mr. Oehme is a recognized expert in European-American affairs. Until 1998 he served as Managing Director of the European-American Chamber of Commerce, Inc. (EACC). Before joining the EACC in 1991, Mr. Oehme held numerous executive positions for subsidiaries of Gruner+Jahr, the magazine and newspaper publishing division of the German media company Bertelsmann. He studied law and economics at the University of Hamburg, Germany and is admitted to the bar in Hamburg. Mr. Oehme's earned a Master of Laws degree in International Business and Trade Law with a focus on European Law from Fordham University, Graduate School of Law, New York. Mr. Oehme frequently speaks at trade and professional groups, as well as universities on developments in the European Union and EU-U.S. commercial and trade relations. Specifically, his expertise includes European Economic and Monetary Union, the Euro and international trade.

Mr. Oehme is the Senior Advisor for the Northeast Region of the United States for the American Chamber of Commerce in Germany. He is also a director of the American Foreign Law Association, a member of the Board of Advisors of the Weissmann Center for International Business at Baruch College. For more than 15 years he produced and anchored a weekly news show on public access television in Westchester County, NY.

**Jonathan Ondrejko**

Senior Vice President of Programs  
Program on International Financial Systems (PIFS)

Jon Ondrejko is PIFS' Senior Vice President of Programs, where he helps lead international symposia, training programs for regulators, and special events that foster dialogue and promote education on issues impacting the global financial system. Mr. Ondrejko is also a Senior Research Fellow at the Committee on Capital Markets Regulation.

Before taking on his current roles, Mr. Ondrejko practiced law as a corporate associate in the Boston office of Cooley LLP. At Cooley, he primarily represented technology and life sciences companies and their investors in connection with private financings, M&A transactions, public offerings, securities regulatory compliance, and corporate governance.

Mr. Ondrejko received a B.A. in International Relations from Boston University, graduating summa cum laude with concentrations in economics and East Asian affairs. He received a J.D. from the Boston University School of Law, graduating summa cum laude and receiving the Alumni Academic Achievement Award for highest cumulative grade point average.

**Véronique Ormezzano**  
Founder and Chairperson  
VYGE Consulting

Independent expert in financial regulation, financial policy, sustainable finance, communication.

Promoting effective regulation, sound risk management, efficient markets, ambitious ESG policies and financial stability, consulting public and private bodies and collaborating with thinktanks and industry associations in France, Europe and at international level. Member of European Banking Authority (EBA) Banking Stakeholder Group since 2018. Member of BIAC (Business @ OECD) Finance Committee. Chaired the Association for Financial Markets in Europe (AFME)'s Prudential Regulatory Board from 2019 to 2023.

Retired from BNP Paribas since January 2023, where she was most recently responsible for the definition of BNP Paribas responses to new regulations, with a focus on prudential, capital markets and ESG regulations. Her career covered a wide range of responsibilities such as Head of Official Institutions Coverage, as well as Risk, Economic Capital, Credit Portfolio Management and Investor Relations functions.

She has been serving as a member of the Board of Directors of BNP Paribas UK Holdings Ltd from 2017 to 2019.

**Sireesh Pachava**  
US Lead, Financial Sector Regulatory Engagement; Regulatory & Industry Security  
Engagement  
AWS Security Assurance

Sireesh Pachava is the US Financial Services Lead for Regulatory Engagement, AWS Security Assurance. He focuses on engaging on Cloud, Digital specific Security, Compliance and, Risk Management areas with the US Regulatory and, Supervisory agencies. He has significant executive level cross functional industry experience across regulatory compliance, market & operational risk management, info-security, regulatory exams and, building/scaling multi-million dollar digital business.

Prior to AWS, he held senior leadership roles at several leading financial institutions, fin-tech and management consulting with deep experience in operationalizing and, building solutions to hard risk, compliance problems at scale. He serves pro-bono on risk & compliance non-profit associations. He has a MS from Columbia University, a CRISC & AWS Security Specialty certification and, has completed the US FedRAMP Level 300 master course. He has been quoted/featured in: State Street Risk 2020 vision whitepaper; Global risk benchmark study 2008, 2010; New Yorker Singapore Economic Development Board survey; Quantitative Finance Journal, and Journal of Regulation & Risk.

He has served as a guide/mentor at the Columbia University Venture Competition, Stanford University Digital Vision fellowship program, University of Washington (UW) Startup Lab, Indian Institute of IT (IIIT). He has served pro bono on the Board of directors at the Reuters Centenary Fund UK and volunteers at PRMIA Seattle, and Mary's Place Seattle

**Rebecca Park**

Senior Practice Director, Financial Services  
Global Counsel

Rebecca is the Senior Practice Director for the financial services practice. Rebecca has worked in both law and public policy advising financial services firms on policy and regulation. She previously served as director of corporate affairs for the banking and finance trade body, UK Finance, leading on the organisation's public policy and communication strategy in the UK and internationally. She also served as a member of the Executive Committee for the European Banking Federation. Prior to this, Rebecca worked as a solicitor advising investors and asset managers on real asset funds, joint ventures, and investments.

At Global Counsel, Rebecca leads teams advising clients navigating financial services policymaking assisting clients with understanding both the risks and opportunities.

**Caroline Pham**

Commissioner  
Commodity Futures Trading Commission (CFTC)

Caroline D. Pham was sworn in as a CFTC Commissioner on April 14, 2022, after being nominated by President Biden and unanimously confirmed by the U.S. Senate. Commissioner Pham is a recognized leader in financial services compliance and regulatory strategy and policy, with deep expertise in derivatives and capital markets and emerging issues such as digital innovation. Her substantial experience spans key international issues such as prudential regulation and systemic risk, financial markets including currencies and commodities, fintech and digital assets, ESG, and the impact of major disruptions like the savings and loan crisis, the 2008 financial crisis, and the COVID-19 pandemic.

Prior to her appointment, Commissioner Pham advised boards of directors, executive and senior management, policymakers and regulators, and industry leaders on changes to the regulatory landscape and implications and risks of emerging issues, trends, and economic and market developments to the global financial system, markets, and banking. Her past engagement includes international standard setters such as the Financial Stability Board, IOSCO, Basel Committee on Banking Supervision, Bank for International Settlements, and Committee on Payment and Settlements Systems.

Commissioner Pham was previously a managing director at a large global financial institution where she held various senior roles in compliance, legal, the chief administrative office, and the institutional clients group, including as a global head of swap dealer and Volcker compliance; deputy head of global regulatory affairs; head of capital markets regulatory strategy and engagement; and head of market structure for strategic initiatives, working closely with innovation, strategic investment, and business and product development teams on digital assets and other opportunities. She has built global programs and teams and led initiatives for implementation of the Dodd-Frank Act and other global regulatory reforms, including the design of compliance risk management, governance, and oversight frameworks and policies and procedures across all institutional and retail businesses.

Commissioner Pham's past experience in the private and public sectors includes serving as Special Counsel and Policy Advisor to former CFTC Commissioner Scott O'Malia; and in

enforcement at the CFTC, SEC, and Office of the Comptroller of the Currency and for the former chief judge of the U.S. Court of Federal Claims while in law school. She was also a Visiting Fellow at the George Washington University Center for Law, Economics, and Finance and most recently served on the Dean's Advisory Council for the Business and Finance Law Program.

### **Johannes Pockrandt**

Managing Director, Head of Government Affairs, Europe  
Citi

Johannes is Citi's Head of Government Affairs Europe and a member of the Europe Leadership Team. Based in Berlin, he drives Citi's engagement with political stakeholders and institutions across the region. Citi has a presence in 24 European countries and does business in 18 more.

Johannes represents Citi in various external committees and fora. In January 2023 he became Co-Chair of the European Public Policy Committee of the Association for Financial Markets in Europe (AFME).

From 2013 to 2021, Johannes held various senior roles at Deutsche Bank, including global Chief of Staff for Regulation, Compliance and Anti-Financial Crime, Head of Government Affairs Europe, and Co-Head of Government & Regulatory Advocacy. Previously, he served as legislative aide and policy advisor on financial regulation, economic and foreign policy to members of the European Parliament and the German Bundestag.

Johannes graduated in economics from the University of Cambridge (St John's College) and in international relations from Freie Universität Berlin. He is an alumnus of the leadership programs of Atlantik-Brücke and BMW Foundation Herbert Quandt as well as the European Commission's Blue Book Traineeship.

### **Ben Pott**

Head of Public Policy and Government Affairs- EMEA  
BNY Mellon

Ben Pott is Head of Public Policy and Government Affairs for EMEA at BNY Mellon. In this role, Ben leads a team that follows key policy and regulatory changes, connecting with external and internal stakeholders to anticipate, advocate and communicate relevant developments in European policy and legislation that may impact the Group's EMEA business.

Prior to joining BNY Mellon in March 2019, Ben worked for ICAP/NEX as Group Head of Government Affairs, leading on MiFID II and Brexit amongst others. He also worked as Policy Expert at the European Banking Authority in the areas of recovery and resolution, credit risk and infrastructure services from 2012-2014.

Ben started his career at the UK Financial Services Authority (now FCA) in 2005, where he spent 7 years in a variety of roles focusing on post-trade policy implementation and supervision. During that time, Ben spent a year on secondment at HM Treasury helping to negotiate the European Market Infrastructure Regulation.

**Delphine Poux**

Chief of Staff, Group Public Affairs Director  
Société Générale

Delphine Poux is Chief of Staff to the Group Public Affairs Director of Societe Generale, in charge of coordinating and communicating Societe Generale Group's insights and data to provide opportunities for better-informed financial and economic policies. Delphine joined Societe Generale in 2017 as an Inspector within the internal audit and advisory department of the Group. She reviewed many of the Group's business lines and support functions in Europe, Asia, Africa, and North America.

Delphine is a graduate of the Johns Hopkins University SAIS (Master of Arts in International Affairs) and of Sciences Po Lille (Master of Public Affairs).

**Randal Quarles**

Former Vice Chair; Executive Chairman  
Federal Reserve; The Cynosure Group

Randal Quarles is Chairman and founder of The Cynosure Group, an investment firm bringing together several of the United States' largest family offices to make long-term private investments. From October of 2017 through October 2021, Mr. Quarles was Vice Chairman of the Federal Reserve System, serving as the system's first Vice Chairman for Supervision, charged with ensuring stability of the financial sector from December 2018 until December 2021, he also served as the Chairman of the Financial Stability Board, a global body established after the Great Financial Crisis to coordinate international efforts to enhance financial stability. He was a key architect of The Fed's crisis response in March of 2020, credited with maintaining the function of the US and global financial systems, as described in the books *Limitless* and *Trillion Dollar Triage*. Earlier in his career, Mr. Quarles was a long-time partner at The Carlyle Group, a leading global private equity firm, and before that a partner at the international law firm of Davis Polk & Wardwell, where he was co-head of their financial services practice. Mr. Quarles has been a close advisor to every Republican Treasury Secretary for the last 35 years, including as Under Secretary of the Treasury in the Bush '43 Administration. He has represented the United States in meetings of the Group of Seven, Group of Twenty, and Financial Stability Forum, and was also US Executive Director of the IMF.

**David Reed**

Senior Partner, Head of The Financial Policy Advisers Network, Brussels  
Kreab Group

David has a portfolio of senior management roles in the European public affairs industry, and has advised over 50 of the world's largest financial institutions and trade associations on their public affairs strategy, stakeholder engagement, and reputation management activities across Europe, the UK and globally. He leads Kreab's Financial Services Practice and is the Senior Partner of the Financial Policy advisers Network. David has worked at the European Commission, the Financial Services Authority, McKinsey & Company, and Campbell Lutyens.

**Nickolas Reinhardt**

Director  
Afore Consulting

Nickolas co-founded Afore Consulting in 2007. Over a career of 20 years, he has come to be regarded as one of the most influential financial services consultants in Brussels. Previously, he ran the global regulatory practice at a public affairs company. He has extensive experience in all areas of financial services and competition policy. He trained and worked as a merchant banker in Hamburg.

Nickolas has an MA in History and Politics from the Christian-Albrechts University, Kiel and has also studied and lectured at the University of Limerick and Queens University, Belfast. He speaks German, English and French.

**Verena Ross**

Chair  
European Securities and Markets Authority (ESMA)

Verena Ross is the Chair of the European Securities and Markets Authority (ESMA). She is the first Executive Director of the organization and forms part of the senior management team along with the Chair of ESMA. Prior to this, Verena held several senior posts in the UK's Financial Services Authority (FSA), with her final position being Director of the International Division from October 2009 to May 2011. Verena was also a member of the FSA's Executive Committee and the Executive Policy and Risk Committees. Verena joined the FSA in 1998 to run the Executive Chairman's office during the regulator's start-up phase and was briefly a seconded advisor to the Hong Kong Securities and Futures Commission in 2000. She subsequently held various positions in the Markets Division and was Director of the Strategy & Risk Division before becoming Director of the International Division. She began her career at the Bank of England in 1994, where she worked as an economist and then banking supervisor, following studies in Hamburg, Taipei and London (SOAS).

**Elizabeth Rosenberg**

Assistant Secretary, Terrorist Financing and Financial Crimes  
U.S. Department of Treasury

Elizabeth Rosenberg is the Assistant Secretary for Terrorist Financing and Financial Crimes at the U.S. Department of the Treasury. She is responsible for leading and coordinating anti-money laundering policy, counter-terrorist financing and -proliferation financing efforts, anti-corruption initiatives, and the use of targeted financial measures to advance U.S. national security. Prior to her confirmation by the U.S. Senate in December 2021, she served as Counselor to the Deputy Secretary, guiding the national security work of the Department of the Treasury, including various sanctions and anti-corruption initiatives, and advising its senior leadership.

Ms. Rosenberg brings years of leadership and experience in the field of national security and economic statecraft to the Department of the Treasury. From September 2013 until January 2021 Ms. Rosenberg directed the Economics Program at the Center for a New American



Security (CNAS). In that capacity, she published and spoke on the national security and foreign policy implications of the use of sanctions and economic statecraft, as well as on energy market shifts. While at CNAS, she testified before Congress on an array of banking and trade issues, energy geopolitics, and markets topics and was widely quoted by leading media outlets in the United States and abroad.

From April 2009 through September 2013, Ms. Rosenberg served as a Senior Advisor to the Assistant Secretary for Terrorist Financing and Financial Crimes and then to the Under Secretary for Terrorism and Financial Intelligence. In these roles, she helped develop and implement financial and energy sanctions and oversaw key initiatives, including the tightening of global sanctions on Iran, the launching of new comprehensive sanctions against Libya and Syria, and the modification of Burma sanctions in step with the then-normalization of diplomatic relations.

Earlier in her career Ms. Rosenberg was an energy policy correspondent at Argus Media in Washington D.C., analyzing U.S. and Middle Eastern energy policy, regulation, and trading. She spoke and published extensively on OPEC, strategic reserves, energy sanctions and national security policy, oil and natural gas investment and production, and renewable fuels. Ms. Rosenberg received an MA in Near Eastern Studies from New York University and a BA in Politics and Religion from Oberlin College.

### **Massimo Rostagno**

Director General for Monetary Policy  
European Central Bank

Massimo Rostagno is Director General Monetary Policy at the European Central Bank. Before joining the ECB in 1998, he was a research economist at the Banca d'Italia and later desk Economist in the European Department of the IMF. He has written on the political economy of fiscal policy, on the reform of social security, on the history and theory of monetary standards, on stochastic general equilibrium macro-modelling and on monetary economics in general. He has published in the American Economic Review, the Quarterly Journal of Economics, the Journal of Money, Credit and Banking, the Journal of Economic Dynamics and Control and contributed to several other publications.

### **Virginie Saade**

Head of Government and Regulatory Policy EMEA  
Citadel

Virginie Saade is Head of Government and Regulatory Policy for Citadel in EMEA.

She is responsible for engaging with policymakers and regulators across EMEA on legislative and regulatory initiatives that are significant to the financial services industry.

Prior to joining Citadel, Ms. Saade was Head of European Regulatory Affairs and Strategy for KCG Europe (now part of Virtu Financial). She originally joined Getco Execution Services as Head of Sales before taking on the management of Getco Execution Services. Prior to that, Ms. Saade held a number of senior roles at NYSE Euronext, including Head of NYSE Arca Europe.

Ms. Saade is a member of the ESMA Securities and Markets Stakeholders Group and a member of the FCA Secondary Markets Advisory Committee.

Ms. Saade received a Graduate Diploma in Law (GDL) from the University of Law (London, United Kingdom) and an MBA from the Montpellier Graduate Institute of Business (Montpellier, France). She also received her bachelor's degree in Politics and a master's degree in Media and Communication Management from Toulouse University (Toulouse, France).

### **Annika Sattler**

Head of Division Strategy/ Operations/ Communications  
Deutsche Bundesbank

Annika Sattler is head of division “strategy, operations and communications” in the department of banking supervision at Deutsche Bundesbank. Before, she was head of division of LSI and foreign SI supervision. She also worked in banking supervision law and as head of office of Board Member Andreas Dombret. Annika Sattler studied law and economics and holds a PhD from Hamburg University.

### **Carola Schuler**

Managing Director  
Moody's Investors Service

Carola Schuler is a Managing Director in the Europe, Middle East and Africa banking team, based in Frankfurt. In this capacity, she is responsible for Moody's analytical teams that rate banks primarily in the UK, the Eurozone and Central and Eastern Europe as well as non-bank financial companies across Europe. Carola has extensive experience in credit analysis and managing credit professionals.

Furthermore, Carola plays a leading role in Moody's Environmental, Social and Governance (ESG) integration efforts. Moody's is committed to incorporating ESG risks into its credit analysis and Carola is one of Moody's global coordinators of ESG analytical, research and outreach initiatives for financial institutions.

Prior to joining Moody's in 2008, Carola was a Director at the credit risk management division of Deutsche Bank where she worked in a number of different analytical and managerial functions in Germany and abroad, covering corporate finance, leveraged finance, financial institutions and sovereign risk across Europe, including time spent in Brussels (Belgium).

Carola has a double German-French Diploma in Business Administration from Hochschule für Technik und Wirtschaft des Saarlandes (HTW), Saarbrücken / Germany and the University of Metz/ France.

**Alexander Schulz**

Head of Division Policy and Standards  
Deutsche Bundesbank

Alexander Schulz is Head of the Policy and Standards Division in Bundesbank's DG Banking and Financial Supervision. Previously, he has been Head of the Risk Analysis Division. Before, he contributed to the build-up of German and European macroprudential analysis and policy in the DG Financial Stability. He started his career at the Bundesbank in 2004 in DG Economics and the Research Centre.

Alexander Schulz has represented the Bundesbank in numerous European and international working groups. He is co-chair of the Basel Committee's Research Group.

**Hal S. Scott**

Emeritus Professor; Harvard Law School  
President, Program on International Financial Systems (PIFS)

Hal S. Scott is the Emeritus Nomura Professor of International Financial Systems at Harvard Law School (HLS), where he taught from 1975-2018. His HLS courses were on Capital Markets Regulation, International Finance, the Payment System and Securities Regulation. He is currently an adjunct Professor of Public Policy at the Harvard Kennedy School of Government where he teaches Capital Market Regulation and a guest lecturer at Tsinghua University.

He has a B.A. from Princeton University (Woodrow Wilson School, 1965), an M.A. from Stanford University in Political Science (1967), and a J.D. from the University of Chicago Law School (1972). In 1974-1975, before joining Harvard, he clerked for Justice Byron White.

He is the Director of the Program on International Financial Systems (PIFS), founded in 1986, as part of Harvard Law School, which became independent in 2018. Besides doing research, the Program organizes the annual invitation-only U.S.-China, U.S.-Europe, and U.S.-Japan Symposia on Building the Financial System of the 21st Century, and special event roundtables. HLS is the non-financial sponsor of these events. In addition, PIFS partners with Executive Education at HLS in offering executive education for financial regulators.

Professor Scott's books include the law school textbook *International Finance: Transactions, Policy and Regulation* (23rd ed. Foundation Press 2020); *Connectedness and Contagion* (M.I.T. Press 2016) and *The Global Financial Crisis* (Foundation Press 2009). He is the author of numerous journal articles and op-ed pieces in leading newspapers.

Professor Scott is also the President and Director of the Committee on Capital Markets Regulation, a bi-partisan non-profit organization organized in 2006, dedicated to enhancing the competitiveness of U.S. capital markets and ensuring the stability of the U.S. financial system via research and advocacy.

He is also an independent director of MEMX, the Members Exchange and a member of the Market Monitoring Group of the Institute of International Finance. He is a past independent director of Lazard, Ltd. (2006-2016), a past President of the International Academy of Consumer and Commercial Law and a past Governor of the American Stock Exchange (2002-2005).

**Beata Sivak**

Head of Government Relations & Policy, Europe  
Kraken Digital Asset Exchange

Before joining Kraken in October 2021, Beata spent 10+ years in government relations, working for Citibank, London Stock Exchange Group, and Nasdaq. She established from scratch a Brussels representation for the exchanges, amidst the heightened focus of policymakers and regulators post-financial crisis, and then during Brexit. Most recently she was Director and Head of the EU Representation for Citi, and was responsible for strategic relationship management and outreach to the EU institutions and governments of selected EU Member States. Prior to her career in financial services, Beata worked for three years at the Czech Ministry of Foreign Affairs at the Embassy in Stockholm, looking after economic affairs and trade. She has worked in London, Stockholm, and Brussels. She speaks fluent English, French, Swedish, Czech, and some Dutch. Beata holds a bachelor's degree in political science from Stockholm University and Sciences Po Paris, and a Master's degree in EU law from the European Institute for Public Administration (EPA) in Luxembourg.

**Frank Smets**

Professor  
University of Ghent

Frank is an Adviser in the Counsel to the Executive Board at the European Central Bank (ECB) in Frankfurt, Germany and a part-time professor of economics at the Department of Economics of Ghent University (UGent) in Belgium.

From 2017 till 2022 he was Director General of DG Economics at the ECB, leading the economics department, responsible for the economic analysis of the euro area economy. Frank was also Secretary for Monetary Policy of the ECB's Governing Council and Chair of the Monetary Policy Committee, the Eurosystem committee that prepares ECB monetary policy decisions. Finally, he coordinated the ECB's monetary policy strategy review as chair of the project office.

His research fields are monetary economics and applied macroeconomics.

**Adam Smith**

Partner  
Gibson, Dunn & Crutcher LLP

Adam M. Smith is a partner in the Washington, D.C. office of Gibson, Dunn & Crutcher. He is an experienced international lawyer with a focus on international trade compliance and white collar investigations, including with respect to federal and state economic sanctions enforcement, CFIUS, the Foreign Corrupt Practices Act, embargoes, and export controls. Mr. Smith has been consistently ranked nationally by *Chambers USA* as a leading attorney in International Trade: Export Controls & Economic Sanctions since 2019. Most recently, Mr. Smith was featured in the 2023 edition of *The Best Lawyers in America*® for his work in finance litigation and international trade. He was also identified by *Global Investigations Review* as one of the leading sanctions practitioners in Washington, DC.

From 2010-2015 Mr. Smith served in the Obama Administration as the Senior Advisor to the Director of the U.S. Treasury Department's Office of Foreign Assets Control (OFAC) and as the Director for Multilateral Affairs on the National Security Council in the White House. At OFAC he played a primary role in all aspects of the agency's work, including briefing Congressional and private sector leadership on sanctions matters, shaping new Executive Orders, regulations, and policy guidance for both strengthening sanctions (Russia and Syria) and easing measures (Burma and Cuba), and advising on enforcement actions following sanctions violations.

Mr. Smith frequently chaired the Treasury delegation to EU/G7 consultations regarding Russia sanctions and negotiated with EU institutions and member states to implement coordinated measures. Additionally, Mr. Smith managed the development and implementation of the U.S. government's international outreach program on Congressionally-mandated Iran sanctions and helped develop proposed sanctions relief strategies as a part of the Iranian nuclear negotiations.

Mr. Smith is a 2006 *magna cum laude* graduate of Harvard Law School where he was a Chayes Fellow, the recipient of the Laylin Prize for the best work in international law, and the Senior Editor of the *Harvard International Law Journal*. He graduated *magna cum laude* from Brown University in 1996 with a Bachelor of Arts degree in Political Science and Economics, and an M.Phil in Politics from Oxford University in 1998 where he was the Seaton Scholar in Politics at St. Hugh's College. Following law school, Mr. Smith served as a law clerk for the Honorable James Baker on the U.S. Court of Appeals for the Armed Forces. Mr. Smith subsequently practiced for more than three years at a major international law firm in Washington, DC, where he advised clients on trade policy, national security, regulatory reform and risk, FCPA, and international investment.

### **Christine Steven**

Head of Office Legal Issues of Banking Supervision and Financial Stability  
Deutsche Bundesbank

Dr. Christine Steven heads the Office for Legal Issues of Banking Supervision and Financial Stability in the Bundesbank's Directorate General Legal Services since 2014.

She joined the Bundesbank's Directorate General Legal Services in December 1998. Since then she had also been on secondments to the European Central Bank (Directorate General Legal Services), to the European Commission (Directorate General Economic and Financial Affairs), the Secretary-General's good offices mission on Cyprus and the International Monetary Fund (Legal Department). From 2010 to 2013 she acted as the Deputy Head of the Institutional Law Division.

Christine Steven is a fully qualified lawyer. She studied Law at Friedrich-Alexander Universität Erlangen-Nürnberg where she also obtained a Doctorate in Law. She also holds a Master of Laws Degree from the University of Bristol.

## **Kay Swinburne**

Vice Chair of Financial Services  
KPMG

Dr Kay Swinburne is the Vice Chair of KPMG UK's Financial Services practice and Chair of KPMG's EMA Risk & Regulatory Insight Centre, leading C-suite engagement for FS clients.

As a former legislator having made award-winning contributions to regulatory reform, she is a prominent figure in the UK and international financial services community. She is an active voice in the market and heads special projects on financial regulation – including Fintech, UK competitiveness and capital market infrastructure as well as ESG, and EU/UK political issues.

Kay is the Chair of the International Regulatory Strategy Group, one of the most influential regulatory and strategy cross-sectional groups in Europe which is co-sponsored by TheCityUK and the City of London Corporation. She is also a board member of TheCityUK and chairs their Finance and Audit Committee.

She was appointed to the UK Capital Markets Industry Taskforce, an industry-led group that aims to support wider regulatory reform and the international position of the UK capital markets, and is also a member of the Financial Conduct Authority's Secondary Markets Advisory Committee which helps develop reforms that improve market competition, increase consumer protection and enhance the integrity of markets.

Prior to joining KPMG, Kay was a Member of European Parliament (2009-2019), and a leading EU legislator serving as Vice Chair of the Economics and Monetary Affairs Committee. This role saw her shape EU and global financial services legislation, including setting up the EU supervisory bodies (ESAs, SSM, SRM), capital markets union (EMIR, MiFID II, Prospectus, CCP Recovery & Resolution), and the broader banking union files.

Recent awards include being named one of Financial News' 100 Most Influential Woman in European Finance in 2022, 2021 and 2020, as well as being named one of Financial News' 25 City Influencers as part of an initiative to celebrate FN's 25th anniversary in 2022.

## **Emiliano Tornese**

Head of Unit- Macroprudential Policy  
European Commission

Emiliano Tornese is Deputy Head of the Financial Markets Infrastructure Unit in the European Commission's DG for Financial Stability, Financial Services and Capital Markets Union, and is Part-time Professor at the Florence School of Banking and Finance, based at the European University Institute, and at the College of Europe (Bruges). Previously, he was responsible for the negotiations on the creation of the Banking Union, as Deputy Head of the Resolution and Crisis Management Unit, and the establishment of the Single Resolution Board. He also worked for the European Commission's Securities Markets Unit and, during his tenure, was responsible for the establishment of the European Securities and Markets Authority and for matters related to its Corporate Finance Standing Committee. As Secretary of the European Securities Committee, he coordinated the European Union's Ministries of Finance in their regulatory capacity for financial services. He drafted and negotiated the review of the primary and secondary markets regulation and the regulation of benchmarks.

**Jean-Claude Trichet**  
Former President  
European Central Bank

Jean-Claude Trichet has been Chairman of the Group of Thirty in Washington, DC since 2011 and Chairman of the Board of Directors of the Bruegel Institute in Brussels since 2012. He is a member of the Institut de France (Académie des Sciences Morales et Politiques). He has chaired the Trilateral Commission for Europe since 2012. He has also been serving on the Board of Directors of Airbus Group since 2012.

Jean-Claude Trichet was President of the European Central Bank from 2003 to 2011 and Chair of the European Systemic Risk Board from 2010 to 2011. Beforehand he was Governor of the Banque de France (1993-2003) and Under-Secretary at the French Treasury (1987-93). In these positions he was instrumental in pursuing the French strategy of competitive disinflation in the 1980s and 1990s. He was Chairman of the Paris Club (debt rescheduling) (1985-93), Chairman of the European Monetary Committee (1992-93), Chairman of the Group of Ten Central Bank Governors, and President of the Global Economy Meeting in Basel (2002-11). He was named "Person of the Year" by the *Financial Times* in 2007, ranked the fifth most powerful person in the world by Newsweek in 2008, and described as one of the "most influential people in the world" by *Time Magazine* in 2011. He was awarded the International Charlemagne Prize of Aachen in 2011 for his contribution to European unity.

Born in Lyons in 1942, Jean-Claude Trichet is an honorary *Inspecteur général des Finances* and *Ingénieur civil des Mines*. He is a graduate of the Institut d'études politiques de Paris (Sciences Po), of the University of Paris (in Economics) and of the Ecole nationale d'administration. Jean-Claude Trichet has been awarded honorary doctorates by the universities of Montreal, Liège, Tel Aviv, Stirling, Bologna and Sofia.

**Mark Uyeda**  
Commissioner  
U.S. Securities and Exchange Commission

Mark T. Uyeda was sworn into office on June 30, 2022. He was nominated by President Joseph Biden and confirmed by the U.S. Senate.

Commissioner Uyeda has served on the staff of the SEC since 2006, including as Senior Advisor to Chairman Jay Clayton, Senior Advisor to Acting Chairman Michael S. Piwowar, Counsel to Commissioner Paul S. Atkins, and various staff positions in the Division of Investment Management. He most recently served on detail from the SEC to the Senate Committee on Banking, Housing, and Urban Affairs as a securities counsel to the committee's minority staff. Prior to joining the SEC, Commissioner Uyeda served as Chief Advisor to the California Corporations Commissioner, the state's securities regulator. He also worked as an attorney at the law firms of K&L Gates (formerly known as Kirkpatrick & Lockhart LLP) in Washington, D.C., and O'Melveny & Myers LLP in Los Angeles. Commissioner Uyeda earned his bachelor's degree in business administration at Georgetown University and his law degree with honors at the Duke University School of Law.

He is the first Asian Pacific American to serve as a Commissioner at the SEC.

**Mark E. Van Der Weide**

General Counsel

Federal Reserve Board

Mark E. Van Der Weide has been the General Counsel of the Board of Governors of the Federal Reserve System in Washington, D.C., since 2017.

From 2010 until 2017, Mr. Van Der Weide worked in the Division of Supervision and Regulation of the Federal Reserve Board. In this capacity, he advised the Division Director and Board members on financial regulatory policy issues and helped coordinate the development of Federal Reserve positions on international and domestic regulatory policy. Mr. Van Der Weide served as a member of the Basel Committee on Banking Supervision from 2015-17 and represented the Federal Reserve on the Financial Stability Board's Standing Committee on Supervisory and Regulatory Cooperation from 2010-17.

Mr. Van Der Weide was detailed to the U.S. Treasury Department during 2009-2010, where he provided assistance to the Administration in its efforts to design the financial reform legislation that ultimately became the Dodd-Frank Act.

From 1998 until 2009, Mr. Van Der Weide worked in the Legal Division of the Federal Reserve Board. His primary responsibilities included drafting rules and interpretive guidance on prudential bank regulations and reviewing bank mergers and acquisitions proposals. Mr. Van Der Weide also participated in the Federal Reserve's efforts to help ensure financial stability during the financial crisis of 2007-09.

Prior to joining the Federal Reserve Board in 1998, Mr. Van Der Weide worked as an associate in the Washington, D.C., office of Cleary, Gottlieb, Steen & Hamilton.

Mr. Van Der Weide received a J.D. degree from Yale Law School in 1995 and a B.A. degree in history and philosophy from the University of Iowa in 1992.

**Victor Van Hoorn**

Managing Director

ICI Global Brussels

Victor van Hoorn is ICI Global's Managing Director. A dual citizen of France and the Netherlands, he joins ICI from Eurosif, where he has served as executive director since 2020. At Eurosif, van Hoorn expanded the association and helped establish the group as a leading voice on sustainable finance and environmental, social, and governance (ESG) issues. He is a well-known presence at the European Parliament and European Commission, with deep experience in their legislative and regulatory processes. He is also an appointee to the French Ministry of Finance's advisory committee on ESG fund labeling.

Earlier in his career, van Hoorn headed financial services public affairs for an international communications firm. As an attorney, he has worked on capital markets issues in commercial



practice. He is a graduate of Maastricht University, Sciences Po, and Georgetown University and a qualified lawyer admitted to the New York and Netherlands bar.

### **Karlheinz Walch**

Director General Banking and Financial Supervision  
Deutsche Bundesbank

#### **1987 – 1990**

Degree in business management from the Bundesbank's University of Applied Sciences in Hachenburg, Rheinland-Pfalz

#### **1990 – 2000**

Positions at a number of Bundesbank branches, at the Stuttgart Regional Office and the Central Office in Frankfurt am Main

#### **2000 – 2003**

Personal assistant to the President of the Deutsche Bundesbank and Head of the Secretariat to the Executive Board

#### **2003 – 2012**

Head of "Coordination of Ongoing Monitoring, Supervisory Strategy and Planning, Prudential and Banking Sector Analyses" Division

#### **2012 – 2020**

Deputy Head of Directorate General Banking and Financial Supervision

#### **since 1 January 2021**

Director General Banking and Financial Supervision

### **Stefan Walter**

Director General at European Central Bank - Bank Supervision

Stefan Walter is since October 2020 Director General of the Directorate General Horizontal Line Supervision. He was Director General of the Directorate General Micro-Prudential Supervision I at the European Central Bank until September 2020, responsible for the supervision of the most significant banking institutions in the Single Supervisory Mechanism.

Previously he was Principal at Ernst & Young, where he led EY's global bank regulatory practice.

From 2006 until 2011, Mr. Walter was Secretary General of the Basel Committee on Banking Supervision. He oversaw the fundamental reform of the global bank regulatory landscape, including negotiations resulting in the Basel III framework. He chaired the Basel Committee's Policy Development Group, the Committee's key policy making body.

During this time he was also the member of the Financial Stability Board. Before joining the Basel Committee, Mr. Walter was Senior Vice President at the Federal Reserve Bank of New York (FRBNY) where he was responsible for the Financial Sector Policy and Analysis Department. During his 15 year tenure at the FRBNY, Mr. Walter held a number of other positions, which included leading the Market and Liquidity Risk Department, Bank Surveillance Department, and the Banking Trends Department.

Mr. Walter holds a Master degree in Banking and Finance from Columbia University and a BA degree from the University of California, Berkeley.

**Johannes Woelfing**

Director  
BlackRock

Johannes Woelfing, is the Frankfurt based member of BlackRock's Global Public Policy Group and a member of the EEGA Executive Committee. Johannes heads BlackRock's regulatory policy engagement in German, Austria and Switzerland and manages BlackRock's engagement with peers, national governments, central banks and national and super national supervisors.

Prior to joining BlackRock in 2020, Johannes spent several years in London at The Investment Association covering the association's EU engagement. During the 2009 -2014 legislature Johannes worked as policy advisor for the German Member of the European Parliament Sven Giegold as policy advisor in the ECON Committee.

Johannes was trained as a lawyer at Humboldt University in Berlin and started his career with a research project on the implementation of MiFID I in Germany.

**Sam Woods**

Deputy Governor for Prudential Regulation and Chief Executive Officer of the Prudential Regulation Authority  
Bank of England

Sam Woods assumed the role of Deputy Governor for Prudential Regulation and Chief Executive Officer of the Prudential Regulation Authority (PRA) on 1 July 2016. As Deputy Governor for Prudential Regulation and CEO of the PRA, Sam Woods is also a member of the Bank's Court of Directors, the Prudential Regulation Committee, the Financial Policy Committee, and the Board of the Financial Conduct Authority.

Sam's previous role was Executive Director of Insurance at the PRA. In this role, Sam was responsible for overseeing the monitoring and regulation of over 600 life and general insurance firms. Sam joined the Financial Services Authority (FSA) in 2011 and transferred to the Bank in 2013 with the integration of the PRA. He served as Director for Financial Stability Strategy and Risk, and prior to that was Director for Domestic UK Banks Supervision. Before joining the FSA/Bank, Sam spent ten years at HM Treasury in a variety of senior roles.

**David Wright**

Chairman  
EUROFI

David Wright was born in England in 1951. After studying PPE at Oxford, he has been employed by the European Commission since 1977.

His career within the Commission has included working in the Statistical Office (1977-1982), the Directorate General For Energy (1982-1987), the Directorate General for Industry and Internal Market Affairs (1987-1989), as Adviser in President Delors' Forward Studies Unit (1989-1992), as a Member of the Cabinet of Sir Leon Brittan Q.C., Commissioner responsible for External and Economic Affairs (1993-1995), as Adviser to Jacques Santer, President of the European

Commission (1995-1999) and he is currently Director of Financial Services Policy and Financial Markets in the Internal Market Directorate General.

### **Seda Yalçinkaya**

Partner, Legal, Compliance & Tax in London  
Apollo

Seda Yalçinkaya is Partner & General Counsel, Europe & Asia at Apollo. Prior to joining in 2019, Seda was General Counsel, EMEA at Silver Lake. Previously, she was Director and Deputy General Counsel at Citi Capital Advisors, where she acted as General Counsel to Citi Venture Capital International, and Deputy Head of M&A Legal for Citigroup before that. Prior to joining Citigroup, Seda was a corporate associate at the New York and London offices of Cravath, Swaine & Moore LLP.

Seda graduated from Harvard University magna cum laude with an AB in Social Studies and received her JD from Columbia Law School. She is qualified to practice law in New York and in England and Wales.

### **Sharon Yang**

Global Head of Regulatory Affairs  
JP Morgan Chase

Sharon is the Global Head of Regulatory Affairs at JPMorgan Chase, where she leads a global team responsible for developing and implementing a comprehensive financial regulatory strategy on behalf of the firm, including understanding and providing thought leadership on how policies affect the firm, our clients and economic growth overall; engaging dialogue with domestic and international financial regulators, policy makers and standard setters; and governing internal coordination among regions and lines of business on priority regulatory issues. Sharon brings over two decades of public and private sector financial services experience across strategic, policy and regulatory initiatives.

Prior to joining JPMorgan Chase, she was the Deputy Assistant Secretary for International Financial Markets at the U.S. Department of the Treasury, leading a team of international economists and financial analysts responsible for addressing a wide range of international financial policy issues, including advocating U.S. interests multilaterally on the Financial Stability Board, at the G7 and G20, bilaterally with EU and Asian counterparts, as well as monitoring financial stability risks in key markets. Sharon represented Treasury on several domestic and international committees and co-chaired the G20 Sustainability Finance Working Group and the G7 Digital Payments Experts Group. She is the recipient of the Secretary's Distinguished Service Award.

Sharon started her career as a management consultant and lawyer in private practice. She is a graduate of the Georgetown University Law Center and the Huntsman Program in International Studies and Business at the University of Pennsylvania, where she is a board member of the Huntsman Alumni Council.

**Chiara Zilioli**

Director General, Legal Services  
European Central Bank

Chiara Zilioli has dedicated her entire working life to Europe. In 1989 she joined the Legal Service of the Council of Ministers in Brussels and in 1998 she joined the European Central Bank (ECB) as Head of Division at the Legal Services, where she has been Director General of the Legal Services since 2013.

Chiara Zilioli holds an LL.M. from Harvard University and a Ph.D. from the European University Institute. In 2016 she was appointed honorary professor of law at the Johann Wolfgang Goethe Universität in Frankfurt. She lectures at the Institute for Law and Finance of that University and at the College Européen de Parme of Parma University, Italy. She has published numerous articles and 3 books.

Chiara Zilioli has been married to Dr. Andreas Fabritius for 29 years, and has 4 children.







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