



U.S. Capital Markets and the COVID-19 Crisis: A Virtual Roundtable with SEC Chairman Jay Clayton

June 15, 2020

Via Zoom

Attendee List

Georges Affaki

Professor, Université Paris II

Reena Aggarwal

Director, Georgetown Center for Financial Markets and Policy, Georgetown University

James Angel

Associate Professor, Georgetown University

Karen Aspinall

Executive Vice President and General Counsel, PIMCO

Greg Babyak

Global Head of Regulatory Affairs, Bloomberg LP

Chris Bates

Partner, Clifford Chance LLP

Joseph Barry

Senior Vice President, Global Head of Regulatory, Government and Industry Affairs, State Street

Brandon Becker

Deputy General Counsel, DTCC

Antoine Begasse

EU Finance Counselor, Delegation of the European Union to the United States

Itzhak Ben-David

Finance Professor, Fisher College of Business, the Ohio State University

Stephen Berger

Managing Director, Global Head of Government & Regulatory Policy, Citadel

Jonathan Blum

Treasury Policy & Advocacy, Rating Agency & Fixed Income IR Executive, Bank of America

Jeff Brown

Senior Vice President, Public Policy and Government Affairs, The Charles Schwab Corporation

G. Andrew Bonnewell

Chief Gov't Affairs Officer, Federated Hermes, Inc.

Corrado Camera

Advisor to the U.S. Treasury Representative in Europe, US Mission to the EU

Roel Campos

Senior Counsel, Hughes Hubbard & Reed LLP

Despina Chatzimanoli

Senior Legal Expert, EBA

Jay Clayton

Chairman, U.S. Securities and Exchange Commission

Robert Cook

President and Chief Executive Officer, Financial Industry Regulatory Authority

Carl Emigholz

Counsel, Cleary Gottlieb Steen & Hamilton

Jim Febeo

Senior Vice President, Government Relations, Fidelity Investments

Jill Fisch

Saul A. Fox Distinguished Professor of Business Law, University of Pennsylvania Law School

Francesco Franzoni

Professor, Swiss Finance Institute

Caroline Frawley

Senior Vice President, Federal Reserve Bank of New York



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Dan Gallagher

CLO, Robinhood

Tyler Gellasch

Executive Director, Healthy Markets

Apostolos Gkoutzinis

Partner, Milbank LLP

Robert Glauber

Lecturer, Harvard Kennedy School

Simon Gleeson

Partner, Clifford Chance

Joel Hasbrouck

Professor, Stern School, New York University

Amy Hong

Managing Director, Goldman Sachs

Tim Husson

Associate Director, Division of Investment Management, U.S. Securities and Exchange Commission

Howell Jackson

Professor of Law, Harvard Law School

Hope Jarkowski

Co-Head of Government Affairs, Intercontinental Exchange

Wei Jiang

Arthur F. Burns Professor of Free and Competitive Enterprise, Columbia Business School

Patrick Kenadjian

Adjunct Professor, Institute for Law and Finance, Goethe University, Frankfurt

Jaime Klima

Chief of Staff and Chief Operating Officer, Commodity Futures Trading Commission

Rosa Lastra

Sir John Lubbock Chair in Banking Law, CCLS, Queen Mary University of London

Craig Lazzara

Managing Director, S&P Dow Jones Indices

Nellie Liang

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Jonathan Macey

Professor, Yale Law School

Phil Mackintosh

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Timothy Massad

Senior Fellow, Harvard Kennedy School

Jamie McGinnis

Ranking Member Patrick McHenry, House Committee on Financial Services

Joe Mecane

Head of Execution Services, Citadel

Thomas Merritt

CHRO, Virtu

Samantha Merwin

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Partner, Davis Polk & Wardwell LLP

Barbara Novick

Vice Chairman, BlackRock



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Craig Phillips

Managing Member, Stoneleigh Ridge Consulting Group LLC

Michael Piwowar

Executive Director, Center for Financial Markets, Milken Institute

Chelsea Pizzola

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Lisa Rabbe

Chief Government & Public Affairs Officer, Moody's Corporation

John Ramsay

Chief Market Policy Officer, IEX Group, Inc.

Brett Redfearn

Director, Division of Trading and Markets, U.S. Securities and Exchange Commission

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Head of Public Affairs, Nomura

David Scharfstein

Edmund Cogswell Converse Professor of Finance and Banking, Harvard Business School

Hal S. Scott

Emeritus Professor, Harvard Law School; President, PIFS

Chester Spatt

Pamela R. and Kenneth B. Dunn Professor of Finance, Carnegie Mellon University

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