



## The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019  
The Charles Hotel, Cambridge, MA

### Attendee List

**Kenechukwu Anadu**

*Supervisory Research and Analysis Unit,  
Federal Reserve Bank of Boston*

**Ian Appel**

*Assistant Professor of Finance, Carroll School of  
Management, Boston College*

**Joe Barry**

*Senior Vice President and Global Head of  
Regulatory, Industry, and Government Affairs,  
State Street*

**Lucian Bebchuk**

*James Barr Ames Professor of Law, Economics,  
and Finance, Director of the Program on  
Corporate Governance, Harvard Law School*

**Steve Berkley**

*Chief Executive Officer, Bloomberg Index Services  
Limited, Bloomberg*

**Dennis Berman**

*Managing Director – Shareholder Advisory,  
Lazard Freres*

**Ken Bertsch**

*Executive Director, Council of Institutional  
Investors*

**Eugenio Briales**

*Teaching and Research Fellow, Program on  
International Financial Systems*

**Sarah Buescher**

*Associate General Counsel, Investment Adviser  
Association*

**Michael Camacho**

*Global Head of Beta Strategies, JP Morgan Asset  
Management*

**Tim Cameron**

*Managing Director; Head of Asset Management  
Group, SIFMA*

**Patrick Cassidy**

*Senior Vice President, Head of Investment  
Research and Oversight, Charles Schwab  
Investment Management*

**Rajib Chanda**

*Partner & Head of Registered Funds Practice,  
Simpson Thacher & Bartlett LLP*

**Whitney Chatterjee**

*Partner, Sullivan & Cromwell LLP*

**Samantha Choa**

*Global Investment Management Regulatory and  
Policy Risk Lead, Vanguard*

**Jay Clayton**

*Chairman, U.S. Securities and Exchange  
Commission*

**John Coates**

*Vice Dean for Finance and Strategic Initiative,  
John F. Cogan, Jr. Professor of Law and  
Economics, Research Director, Center on the  
Legal Profession, Harvard Law School*

**Warren Collier**

*Managing Director, BlackRock*

**Sean Collins**

*Chief Economist, Investment Company Institute*



## The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019  
The Charles Hotel, Cambridge, MA

Attendee List (as of September 26)

**David Connelly**

*Senior Vice President and Director of Global Product, MFS Investment Management*

**Joe DeSantis**

*CIO, Fidelity Investments*

**Michael Doherty**

*Partner, Ropes & Gray LLP*

**Dorothy Donohue**

*Deputy General Counsel, Securities Regulation, Investment Company Institute*

**Gabriela Figueiredo Dias**

*Chair, Investment Management Standing Committee, European Securities and Markets Authority; Chair, Portuguese Securities Market Commission (CMVM)*

**Allen Ferrell**

*Harvey Greenfield Professor of Securities Law, Harvard Law School*

**Jill Fisch**

*Saul A. Fox Distinguished Professor of Business Law; Co-Director, Institute for Law and Economics, University of Pennsylvania Law School*

**Kenneth Froot**

*André R. Jakurski Professor of Business Administration, Emeritus, Harvard University, Graduate School of Business Administration*

**Stefan Gavell**

*Vice Chair, Executive Education, Program on International Financial Systems*

**Carol Geremia**

*President and Head of Global Distribution, MFS Investment Management*

**Robert Greene**

*Senior Fellow, Program on International Financial Systems; Visiting Research Fellow, People's Bank of China School of Finance, Tsinghua University*

**Jonathan Greenstein**

*Senior Advisor, Office of Domestic Finance, U.S. Department of the Treasury*

**Robin Greenwood**

*George Gund Professor of Finance and Banking, Harvard Business School*

**John Gulliver**

*Executive Director, Program on International Financial Systems*

**Theresa Hamacher**

*Versanture Consulting*

**Scott Hirst**

*Associate Professor of Law, Boston University*

**Mike Hoffman**

*Partner, Skadden, Arps, Slate, Meagher & Flom LLP*

**John Hoeppe**

*Head of U.S. Stewardship and Sustainable Investments, Legal and General Investment Management America, Inc.*

**Howell Jackson**

*James S. Reid Jr. Professor of Law, Harvard Law School*



## The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019  
The Charles Hotel, Cambridge, MA

Attendee List (as of September 26)

**Robert Jackson**

*Commissioner, U.S. Securities and Exchange Commission*

**Lindsey Keljo**

*Managing Director, Asset Management Group and Associate General Counsel, SIFMA*

**Rick Lacaille**

*Global Chief Investment Officer, State Street Global Advisors*

**David Lafferty**

*Senior Vice President and Chief Market Strategist, Natixis Investment Managers*

**Craig Lazzara**

*Managing Director, Index Investment Strategy, S&P Dow Jones Indices*

**Jay Leopold**

*Head of North America Investment Risk, Columbia Threadneedle Investments*

**John Lipsey**

*Senior Director of Global Policy, S&P Global*

**Dorothy Lund**

*Assistant Professor, University of Southern California Gould School of Law*

**Colin Moore**

*Global Chief Investment Officer, Columbia Threadneedle Investments*

**Martin Moloney**

*Director General, Jersey Financial Services Commission*

**Hillel Nadler**

*Senior Research Fellow, Program on International Financial Systems*

**Barbara Novick**

*Vice Chair, BlackRock*

**Baer Pettit**

*President, MSCI*

**Alexander Platt**

*Climenko Fellow and Lecturer on Law, Harvard Law School*

**Robert Pozen**

*Senior Lecturer, Technological Innovation, Entrepreneurship, and Strategic Management, MIT Sloan School of Management; Senior Fellow, Brookings Institution*

**Elad Roisman**

*Commissioner, U.S. Securities and Exchange Commission*

**Steve Sachs**

*Managing Director, Goldman Sachs*

**Waqas Samad**

*CEO, FTSE Russell; Chair, Index Industry Association*

**Hal S. Scott**

*Emeritus Nomura Professor of International Financial Systems, Harvard Law School; President, Program on International Financial Systems*

**David Scharfstein**

*Edmund Cogswell Converse Professor of Finance and Banking, Harvard Business School*



## **The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction**

September 27, 2019  
The Charles Hotel, Cambridge, MA

Attendee List (as of September 26)

---

**Nick Silitch**

*Chief Risk Officer, Prudential Financial*

**Rob Taylor**

*Head of Investment Management Supervision,  
U.K. Financial Conduct Authority*

**Lauren Toomey**

*Director, Government Relations and Regulatory  
Strategy, Americas, London Stock Exchange Group*

**Sarah Williamson**

*Chief Executive Officer, Focusing Capital on  
Long-Term*

**Yesha Yadav**

*Professor of Law, Chancellor Faculty Fellow,  
Faculty Co-director, LL.M Program, Vanderbilt  
Law School*