



## The Debate Over Institutional Investors: Competition and Capital Markets

September 17, 2018  
Harvard Club  
35 West 44th Street  
New York, NY 10036

Attendee List (as of September 14)

**Jim Allen**

*Head of Americas Capital Markets Policy,  
CFA Institute*

**Noel Archard**

*Global Head of Product, ETFs, State Street  
Corporation*

**John Authers**

*Chief Markets Commentator and Associate  
Editor, The Financial Times*

**Matthew Backus**

*Assistant Professor, Columbia Business  
School*

**Justin Baer**

*Senior Special Writer, The Wall Street  
Journal*

**Ryan Ballentine**

*Co-Founder, Bireme Capital LLC*

**Karen Barr**

*President and CEO, Investment Adviser  
Association*

**Joseph Barry**

*Senior Vice President, Regulatory,  
Industry and Government Affairs  
department, State Street Corporation*

**Roberta Baruch**

*Assistant Director (Acting), Compliance,  
Bureau of Competition, Federal Trade  
Commission*

**Boris Bershteyn**

*Partner, Skadden, Arps, Slate, Meagher &  
Flom*

**Eugenio Briaies**

*Fellow, Program on International Financial  
Systems (PIFS)*

**Reggie Browne**

*Senior Managing Director, Cantor  
Fitzgerald & Co.*

**Christopher Cafiero**

*Office of Regulatory Affairs, Asset and  
Wealth Management, J.P. Morgan Chase  
& Co.*

**Mark Chlapowski**

*ED, LATAM Investment Banking, Young  
America Capital, LLC*

**Jennifer Choi**

*Chief Counsel, ICI Global*

**Sean Collins**

*Chief Economist, Research Department,  
Investment Company Institute (ICI)*

**Joe Costa**

*Analyst and Investor, Corvex Management*

**Jim Cove**

*Senior Vice President, Product Operations  
and Management, Natixis Global Asset  
Management*



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### **Telis Demos**

*Reporter, WSJ*

### **Paul Denis**

*Partner, Dechert LLP*

### **Samantha DeZur**

*Director, Center for Capital Markets  
Competitiveness, U.S. Chamber of  
Commerce*

### **Peter R. Eccles**

*Partner, Corporate, Akerman LLP*

### **William Efron**

*Director, Northeast Region, Federal Trade  
Commission*

### **Elweya A. Elgamal**

*Equities Sales and Trading, J.P. Morgan*

### **Richard Farber**

*Senior Advisor, Office of Domestic  
Finance, U.S. Department of Treasury*

### **Jason Feuchtwanger**

*Director, Corporate Media Relations, S&P  
Global*

### **Jill Fisch**

*Saul A. Fox Distinguished Professor of  
Business Law, UPenn Law; Co-Director,  
Institute for Law and Economics,  
University of Pennsylvania Law*

### **Hannah Fuchs**

*Account Supervisor, Prosek*

### **Richard Gamper**

*Co-Head of Messaging, Brown Advisory*

### **Stephen Gandel**

*Bloomberg Opinion Columnist*

### **Stefan Gavell**

*Executive Vice President and Global Head  
of Regulatory, Industry and Government  
Affairs, State Street Corporation*

### **George Gilbert**

*Trading and Market Structure Issues,  
Policy and Regulatory Matters*

### **Phillip Gillespie**

*Executive Vice President And General  
Counsel, State Street Global Advisors*

### **Jill Gregorie**

*Reporter, Ignites, Money-Media, Financial  
Times*

### **Robert Grohowski**

*Senior Legal Counsel, Head of Legislative  
and Regulatory Affairs, T. Rowe Price*

### **John Gulliver**

*Executive Director, Program on  
International Financial Systems (PIFS)*

### **Elizabeth Halterman**

*Legal Counsel, Legislative and Regulatory  
Affairs, T. Rowe Price*

### **Elizabeth Hogbin**

*Head of Global Equity Product Management,  
T. Rowe Price*

### **Trevor Hunnicutt**

*Reporter, Reuters*



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### **Wei Jiang**

*Arthur F. Burns Professor of Free and Competitive Enterprise, Finance, Vice Dean for Curriculum and Instruction, Dean's Office, Columbia Business School*

### **Caleb Johnson**

*Adjunct fellow, CSIS Transnational Threats Project (TNT); Associate, AQR Capital Management, LLC*

### **Laura Keller**

*Freelance reporter*

### **Pauline Kennedy**

*Principal, Bates White*

### **Crystal Kim**

*Reporter, Barrons*

### **Michael Kimmel**

*Principal, Office of the General Counsel, Vanguard*

### **Paul Kovarsky**

*Director, Institutional Partnerships, CFA Institute*

### **Joshua Kulkin**

*Head of Trading, Jane Street Financial Limited*

### **Edward Landsman**

*Senior Consultant, Portfolio Evaluations, Inc.*

### **Craig Lazzara**

*Managing Director and Global Head of Index Investment Strategy, S&P Dow Jones Indices*

### **Doron Levit**

*Assistant Professor of Finance, The Wharton School, University of Pennsylvania*

### **John Lipsey**

*Director of Government Affairs and Public Policy, S & P Global*

### **Theo Lubke**

*Chief Regulatory Reform Officer, Goldman Sachs*

### **Matthew Mallow**

*Vice Chairman, BlackRock*

### **Annie Massa**

*Journalist, Bloomberg News*

### **Colin Masters**

*Director, Public Policy, Fidelity*

### **Tara McDonnell**

*Director, Corporate Communications, BlackRock*

### **Mike McNamee**

*Chief Public Communications Officer, Investment Company Institute*



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**Michael Mendelson**

*Principal, AQR Capital Management*

**Lauren Mullen**

*Equities Legal, J.P. Morgan Chase & Co.*

**Dan O'Connor**

*Director, Institutional Relationships, CFA Institute*

**Susan Olson**

*Vice President of Government Relations,  
Natixis Investment Managers, US  
Distribution*

**Peter Phelan**

*Deputy Assistant Secretary for Capital  
Markets, U.S. Department of the Treasury*

**Matt Phillips**

*Market Reporter, the New York Times*

**Noah Joshua Phillips**

*Commissioner, the Federal Trade  
Commission*

**Vito J. Racanelli**

*Senior Writer, Barrons*

**Alexis Rosenblum**

*Vice President, Government Relations &  
Public Policy, BlackRock*

**Dean Sackett**

*Chief Government Affairs Officer And Co-  
Head, Government Affairs, Investment  
Company Institute (ICI)*

**Pauline Scalvino**

*Chief Compliance Officer, Vanguard, Total  
Market Shares Index ETF*

**Katherine Segura**

*Account Supervisor, Prosek Partners*

**Les Silverman**

*Senior Counsel, Cleary Gottlieb Steen &  
Hamilton LLP*

**Jeanna Smialek**

*Reporter, Bloomberg*

**Reilly Starr**

*Assistant Vice President, Public Relations  
State Street Corporation*

**Alexander Sternhell**

*Principal, Sternhell Group*

**Paul S. Stevens**

*President and CEO, Investment Company  
Institute (ICI)*

**Michael Sykuta**

*Associate Professor, Agricultural and  
Applied Economics, University of Missouri*

**Chris Theodoridis**

*Associate, Proskauer Rose*

**Jim Toes**

*President and CEO, Security Traders  
Association*



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### **Brian Tomlinson**

*Research Director, Strategic Investor Initiative*

### **Lori Walsh**

*Deputy Chief Economist, Office of the Chief Economist, Financial Industry Regulatory Authority*

### **Haifeng Wang**

*Project Manager, MBA Leadership Development Program, Vanguard*

### **Evan Weinberger**

*Assistant Managing Editor, Bloomberg Law*

### **Ian Weston**

*Executive Director, Office of Regulatory Affairs, J.P. Morgan Chase & Co.*

### **Yuqiang Xiao**

*General Manager of ICBC New York Branch; Chairman of ICBC US Region Management Committee*

### **Sharon Yang**

*Office of the Assistant General Counsel for International Affairs, U. S. Department of Treasury*

### **Julian Zhang**

*Assistant Professor of Finance, Loyola Marymount University*

### **Jason Zweig**

*Columnist, The Wall Street Journal*