

4<sup>th</sup> Annual HLS-PIFS-Tsinghua University Policy Development Seminar  
*Enhancing Regulatory Efficiency for the Financial System*

Via Zoom

**April 21 and 22, 2021**  
**7:30am-10:20am EST**

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**April 21**

7:30am	<b>Welcome</b> <ul style="list-style-type: none"><li>- Hal S. Scott, President, Program on International Financial Systems (PIFS); Emeritus Professor, Harvard Law School</li><li>- WANG Xian, Associate Dean, National Institute of Financial Research, People's Bank of China School of Finance, Tsinghua University</li></ul>
	<b>The Post-COVID Financial Regulation and Role of Financial Authorities on the Transition to Low-Carbon Economy</b>
7:35am-7:55am	XIAO Gang Former Chairman, China Securities Regulatory Commission
7:55am-8:15am	Hal S. Scott - <i>"The Lender of Last Resort"</i> President, Program on International Financial Systems (PIFS); Emeritus Professor, Harvard Law School
8:15am-8:35am	XUAN Changneng Deputy Administrator, State Administration of Foreign Exchange
8:35am-8:55am	Robert Jackson – <i>"Financial Regulation Priorities under the Biden Administration"</i> Pierrepont Family Professor of Law, Co-Director, Institute for Corporate Governance and Finance, and Director, Program on Corporate Law and Policy at the New York University School of Law
8:55am-9:00am	Break
9:00am-9:20am	Dan Simkowitz - <i>"Remaining Issues for US Financial Firms' Expansion into China"</i> President, Asset Management, Morgan Stanley
9:20am-9:40am	WANG Xin Director-General, Research Bureau, People's Bank of China
9:40am-10:00am	Stephen Berger - <i>"Policy Issues Related to UST Market Structure"</i>

	Managing Director and Global Head of Government & Regulatory Policy, Citadel
10:00am-10:20am	TAN Congyan Vice President, China Institute of Finance and Capital Markets, China Securities Regulatory Commission
10:20am-10:30am	Closing

### April 22

7:30am	<p><b>Welcome</b></p> <ul style="list-style-type: none"> <li>- Hal S. Scott, President, Program on International Financial Systems (PIFS); Emeritus Professor, Harvard Law School</li> <li>- FANG Jin, Deputy Secretary General of The China Development Research Foundation (CDRF)</li> </ul>
	<p><b>The Role of Financial Authorities in Antitrust and Prevention of Disorderly Capital Expansion</b></p>
7:35am-7:55am	Howell Jackson - <i>“Regulation of Financial Conglomerates”</i> James S. Reid, Jr., Professor of Law, Harvard Law School
7:55am-8:15am	SUN Tianqi Director-General, Financial Stability Bureau, People's Bank of China
8:15am-8:35am	Bill Baer - <i>“Antitrust Issues for the US financial System”</i> Visiting Fellow, Governance Studies, Brookings Institutions
8:35am-8:40am	Break
	<p><b>Remaining Issues for U.S. Financial Firms’ Expansion into China and Audit Issues for Chinese Companies Listed on U.S. Stock Exchanges</b></p>
8:40am-9:00am	SHEN Bing Director-General, Department of International Affairs, China Securities Regulatory Commission
9:00am-9:20am	William Hinman former Director of the Division of Corporate Finance, U.S. Securities and Exchange Commission
9:20am-9:30am	Closing