



U.S. Capital Markets and the COVID-19 Crisis: A Virtual Roundtable with SEC Chairman Jay Clayton

June 15, 2020
Via Zoom

Attendee List

Georges Affaki

Professor, Université Paris II

Reena Aggarwal

Director, Georgetown Center for Financial Markets and Policy, Georgetown University

James Angel

Associate Professor, Georgetown University

Karen Aspinall

Executive Vice President and General Counsel, PIMCO

Greg Babyak

Global Head of Regulatory Affairs, Bloomberg LP

Chris Bates

Partner, Clifford Chance LLP

Joseph Barry

Senior Vice President, Global Head of Regulatory, Government and Industry Affairs, State Street

Brandon Becker

Deputy General Counsel, DTCC

Antoine Begasse

EU Finance Counselor, Delegation of the European Union to the United States

Itzhak Ben-David

Finance Professor, Fisher College of Business, the Ohio State University

Stephen Berger

Managing Director, Global Head of Government & Regulatory Policy, Citadel

Jonathan Blum

Treasury Policy & Advocacy, Rating Agency & Fixed Income IR Executive, Bank of America

Jeff Brown

Senior Vice President, Public Policy and Government Affairs, The Charles Schwab Corporation

G. Andrew Bonnewell

Chief Gov't Affairs Officer, Federated Hermes, Inc.

Corrado Camera

Advisor to the U.S. Treasury Representative in Europe, US Mission to the EU

Roel Campos

Senior Counsel, Hughes Hubbard & Reed LLP

Despina Chatzimanoli

Senior Legal Expert, EBA

Jay Clayton

Chairman, U.S. Securities and Exchange Commission

Robert Cook

President and Chief Executive Officer, Financial Industry Regulatory Authority

Carl Emigholz

Counsel, Cleary Gottlieb Steen & Hamilton

Jim Febeo

Senior Vice President, Government Relations, Fidelity Investments

Jill Fisch

Saul A. Fox Distinguished Professor of Business Law, University of Pennsylvania Law School

Francesco Franzoni

Professor, Swiss Finance Institute

Caroline Frawley

Senior Vice President, Federal Reserve Bank of New York



U.S. Capital Markets and the COVID-19 Crisis: A Virtual Roundtable with SEC Chairman Jay Clayton

June 15, 2020
Via Zoom

Attendee List

Dan Gallagher

CLO, Robinhood

Tyler Gellasch

Executive Director, Healthy Markets

Apostolos Gkoutzinis

Partner, Milbank LLP

Robert Glauber

Lecturer, Harvard Kennedy School

Simon Gleeson

Partner, Clifford Chance

Joel Hasbrouck

Professor, Stern School, New York University

Amy Hong

Managing Director, Goldman Sachs

Tim Husson

*Associate Director, Division of Investment
Management, U.S. Securities and Exchange
Commission*

Howell Jackson

Professor of Law, Harvard Law School

Hope Jarkowski

*Co-Head of Government Affairs, Intercontinental
Exchange*

Wei Jiang

*Arthur F. Burns Professor of Free and Competitive
Enterprise, Columbia Business School*

Patrick Kenadjian

*Adjunct Professor, Institute for Law and Finance,
Goethe University, Frankfurt*

Jaime Klima

*Chief of Staff and Chief Operating Officer,
Commodity Futures Trading Commission*

Rosa Lastra

*Sir John Lubbock Chair in Banking Law, CCLS,
Queen Mary University of London*

Craig Lazzara

Managing Director, S&P Dow Jones Indices

Nellie Liang

Senior Fellow, Brookings Institution

Jonathan Macey

Professor, Yale Law School

Phil Mackintosh

Chief Economist, Nasdaq

Timothy Massad

Senior Fellow, Harvard Kennedy School

Jamie McGinnis

*Ranking Member Patrick McHenry, House
Committee on Financial Services*

Joe Mecane

Head of Execution Services, Citadel

Thomas Merritt

CHRO, Virtu

Samantha Merwin

Head of ETF Markets Advocacy, BlackRock

Rabih Moussawi

*Associate Professor of Finance, Villanova
University*

Arthur Murton

Deputy to the Chairman, FDIC

Annette Nazareth

Partner, Davis Polk & Wardwell LLP

Barbara Novick

Vice Chairman, BlackRock



U.S. Capital Markets and the COVID-19 Crisis: A Virtual Roundtable with SEC Chairman Jay Clayton

June 15, 2020

Via Zoom

Attendee List

Adam Nunes

Head of Business Development, Hudson River Trading

Craig Phillips

Managing Member, Stoneleigh Ridge Consulting Group LLC

Michael Piwowar

Executive Director, Center for Financial Markets, Milken Institute

Chelsea Pizzola

Deputy Chief of Staff and Counsel to the Chairman, Commodity Futures Trading Commission

Lisa Rabbe

Chief Government & Public Affairs Officer, Moody's Corporation

John Ramsay

Chief Market Policy Officer, IEX Group, Inc.

Brett Redfearn

Director, Division of Trading and Markets, U.S. Securities and Exchange Commission

Randall Ross

Head of Public Affairs, Nomura

David Scharfstein

Edmund Cogswell Converse Professor of Finance and Banking, Harvard Business School

Hal S. Scott

Emeritus Professor, Harvard Law School; President, PIFS

Chester Spatt

Pamela R. and Kenneth B. Dunn Professor of Finance, Carnegie Mellon University

Debbie Toennies

Managing Director, JP Morgan

Georges Ugeux

Adjunct Professor, Columbia Law School

Richard Webley

Head of Citi Global Data Insights, Citigroup

Zachary Zweihorn

Counsel, Davis Polk & Wardwell LLP