



The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019
The Charles Hotel, Cambridge, MA

Attendee List

Kenechukwu Anadu

*Supervisory Research and Analysis Unit,
Federal Reserve Bank of Boston*

Ian Appel

*Assistant Professor of Finance, Carroll School of
Management, Boston College*

Joe Barry

*Senior Vice President and Global Head of
Regulatory, Industry, and Government Affairs,
State Street*

Lucian Bebchuk

*James Barr Ames Professor of Law, Economics,
and Finance, Director of the Program on
Corporate Governance, Harvard Law School*

Steve Berkley

*Chief Executive Officer, Bloomberg Index Services
Limited, Bloomberg*

Dennis Berman

*Managing Director – Shareholder Advisory,
Lazard Freres*

Ken Bertsch

*Executive Director, Council of Institutional
Investors*

Eugenio Briales

*Teaching and Research Fellow, Program on
International Financial Systems*

Sarah Buescher

*Associate General Counsel, Investment Adviser
Association*

Michael Camacho

*Global Head of Beta Strategies, JP Morgan Asset
Management*

Tim Cameron

*Managing Director; Head of Asset Management
Group, SIFMA*

Patrick Cassidy

*Senior Vice President, Head of Investment
Research and Oversight, Charles Schwab
Investment Management*

Rajib Chanda

*Partner & Head of Registered Funds Practice,
Simpson Thacher & Bartlett LLP*

Whitney Chatterjee

Partner, Sullivan & Cromwell LLP

Samantha Choa

*Global Investment Management Regulatory and
Policy Risk Lead, Vanguard*

Jay Clayton

*Chairman, U.S. Securities and Exchange
Commission*

John Coates

*Vice Dean for Finance and Strategic Initiative,
John F. Cogan, Jr. Professor of Law and
Economics, Research Director, Center on the
Legal Profession, Harvard Law School*

Warren Collier

Managing Director, BlackRock

Sean Collins

Chief Economist, Investment Company Institute



The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019
The Charles Hotel, Cambridge, MA

Attendee List (as of September 26)

David Connelly

Senior Vice President and Director of Global Product, MFS Investment Management

Joe DeSantis

CIO, Fidelity Investments

Michael Doherty

Partner, Ropes & Gray LLP

Dorothy Donohue

Deputy General Counsel, Securities Regulation, Investment Company Institute

Gabriela Figueiredo Dias

Chair, Investment Management Standing Committee, European Securities and Markets Authority; Chair, Portuguese Securities Market Commission (CMVM)

Allen Ferrell

Harvey Greenfield Professor of Securities Law, Harvard Law School

Jill Fisch

Saul A. Fox Distinguished Professor of Business Law; Co-Director, Institute for Law and Economics, University of Pennsylvania Law School

Kenneth Froot

André R. Jakurski Professor of Business Administration, Emeritus, Harvard University, Graduate School of Business Administration

Stefan Gavell

Vice Chair, Executive Education, Program on International Financial Systems

Carol Geremia

President and Head of Global Distribution, MFS Investment Management

Robert Greene

Senior Fellow, Program on International Financial Systems; Visiting Research Fellow, People's Bank of China School of Finance, Tsinghua University

Jonathan Greenstein

Senior Advisor, Office of Domestic Finance, U.S. Department of the Treasury

Robin Greenwood

George Gund Professor of Finance and Banking, Harvard Business School

John Gulliver

Executive Director, Program on International Financial Systems

Theresa Hamacher

Versanture Consulting

Scott Hirst

Associate Professor of Law, Boston University

Mike Hoffman

Partner, Skadden, Arps, Slate, Meagher & Flom LLP

John Hoeppe

Head of U.S. Stewardship and Sustainable Investments, Legal and General Investment Management America, Inc.

Howell Jackson

James S. Reid Jr. Professor of Law, Harvard Law School



The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019
The Charles Hotel, Cambridge, MA

Attendee List (as of September 26)

Robert Jackson

Commissioner, U.S. Securities and Exchange Commission

Lindsey Keljo

Managing Director, Asset Management Group and Associate General Counsel, SIFMA

Rick Lacaille

Global Chief Investment Officer, State Street Global Advisors

David Lafferty

Senior Vice President and Chief Market Strategist, Natixis Investment Managers

Craig Lazzara

Managing Director, Index Investment Strategy, S&P Dow Jones Indices

Jay Leopold

Head of North America Investment Risk, Columbia Threadneedle Investments

John Lipsey

Senior Director of Global Policy, S&P Global

Dorothy Lund

Assistant Professor, University of Southern California Gould School of Law

Colin Moore

Global Chief Investment Officer, Columbia Threadneedle Investments

Martin Moloney

Director General, Jersey Financial Services Commission

Hillel Nadler

Senior Research Fellow, Program on International Financial Systems

Barbara Novick

Vice Chair, BlackRock

Baer Pettit

President, MSCI

Alexander Platt

Climenko Fellow and Lecturer on Law, Harvard Law School

Robert Pozen

Senior Lecturer, Technological Innovation, Entrepreneurship, and Strategic Management, MIT Sloan School of Management; Senior Fellow, Brookings Institution

Elad Roisman

Commissioner, U.S. Securities and Exchange Commission

Steve Sachs

Managing Director, Goldman Sachs

Waqas Samad

CEO, FTSE Russell; Chair, Index Industry Association

Hal S. Scott

Emeritus Nomura Professor of International Financial Systems, Harvard Law School; President, Program on International Financial Systems

David Scharfstein

Edmund Cogswell Converse Professor of Finance and Banking, Harvard Business School



The Rise of Passive Investing: Corporate Governance, Systemic Risk and Index Construction

September 27, 2019
The Charles Hotel, Cambridge, MA

Attendee List (as of September 26)

Nick Silitch

Chief Risk Officer, Prudential Financial

Rob Taylor

*Head of Investment Management Supervision,
U.K. Financial Conduct Authority*

Lauren Toomey

*Director, Government Relations and Regulatory
Strategy, Americas, London Stock Exchange Group*

Sarah Williamson

*Chief Executive Officer, Focusing Capital on
Long-Term*

Yesha Yadav

*Professor of Law, Chancellor Faculty Fellow,
Faculty Co-director, LL.M Program, Vanderbilt
Law School*