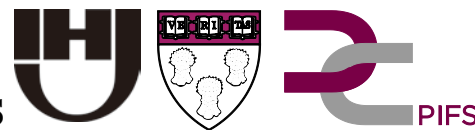


**SYMPOSIUM ON BUILDING THE  
FINANCIAL SYSTEM OF THE 21<sup>ST</sup> CENTURY:  
AN AGENDA FOR JAPAN AND THE UNITED STATES**  
CAMBRIDGE, MA • NOVEMBER 5-7, 2015



**PARTICIPANT LIST** (AS OF NOVEMBER 5)

**Don Allison**  
*Senior Managing Director, State Street Global Markets*

**Akira Ariyoshi**  
*Professor, Asian Public Policy Program, School of International and Public Policy, Hitotsubashi University*

**Masatsugu Asakawa**  
*Vice Minister of Finance for International Affairs, Ministry of Finance, Japan*

**David Asher**  
*Principal, Vital Financial LLC*

**Larry Beeferman**  
*Director, Pensions and Capital Stewardship Project, Labor and Worklife Program, Harvard Law School*

**Taylor Bodman**  
*Partner, Brown Brothers Harriman*

**Christopher Bottiglieri**  
*Head of Global Connectivity Program, Citi*

**Brian Bussey**  
*Attorney, U.S. Securities and Exchange Commission*

**Rebecca Chua**  
*Assistant CEO, ICBC Credit Suisse Asset Management (International) Co. Ltd.*

**Sean Creehan**  
*Supervisory Analyst, Federal Reserve Bank of San Francisco*

**Jun Daikuhara**  
*Chairman, PAG Japan/PAG Investment Management*

**Robert Dohner**  
*Deputy Assistant Secretary, U.S. Department of Treasury*

**Drew Edwards**  
*Managing Director, Advisory Research*

**Lisa Emsbo-Mattingly**  
*Director of Research, Fidelity Investments*

**Garry Evans**  
*Chief Strategist, Global Asset Allocation, BCA Research Inc.*

**Fabiana Fedeli**  
*Senior Portfolio Manager, Robeco*

**Takuya Fujii**  
*President and CEO, Promontory Financial Japan*

**Takashiro Furuhata**  
*Executive Director, International House of Japan*

**Richard Gabbert**  
*Attorney, U.S. Securities and Exchange Commission*

**Curt Gallagher**  
*Director, Citadel*

**William Grimes**  
*Professor of International Relations, Boston University*

**Martin Gruenberg**  
*Chairman, FDIC*

**Yasuhiro Harada**  
*Member of the Board, Director-General, Future Institute for Economic Research and Financial Strategy, Future Architect, Inc.*

**Toshiya Hasegawa**  
*Co-CEO, Nomura Holding America, Inc.*

**Keiji Hatano**  
*Partner, Sullivan & Cromwell LLP*

**Takayoshi Hatayama**  
*President, Art Systems, Ltd.*

**Yasuhiro Hayasaki**  
*Counsellor on Global Strategy to President and the Board of Directors, The Norinchukin Bank*

**Keith Henry**  
*President, Asia Strategy*

**Tsutomu Himeno**  
*Consulate General of Japan in Boston*

**Akinari Horii**  
*Special Advisor, The Canon Institute for Global Studies*

**R. Glenn Hubbard**  
*Dean & Russell L. Carson Professor of Finance and Economics, Columbia Business School*

**Douglas Hymas**  
*Japan Country Executive, Managing Director, BNY Mellon*

**Masahisa Ikeda**  
*Partner, Shearman & Sterling LLP*

**Takatoshi Ito**  
*Professor, School of International and Public Affairs, Columbia University (September – May); National Graduate Institute for Policy Studies, Tokyo (June - August)*

**Yoichiro Iwama**  
*Chairman, Japan Investment Advisers Association*

**Akira Iwamoto**  
*Managing Director, Advantage Partners, Inc.*

**Tadashi Iwashita**  
*Advisor, Daiwa Securities Group*

**Hiroyuki Kamano**  
*Partner, Kamano Sogo Law Offices*

**Donald Kanak**  
*Chairman, Prudential Corporation Asia*

**Shigesuke Kashiwagi**  
*Executive Managing Director & Chief Financial Officer, Nomura Holdings, Inc.*

**Richard Katz**  
*Editor, The Oriental Economist Report*

**Izumi Kazuhara**  
*Executive Director and Head of Japan Office, CME*

**Brian Kelly**  
*Managing Partner, Asian Century Quest*

**Shunichiro Kimpara**  
*SVP, Treasurer and Global Head of Finance, Mitsubishi Corporation*

**Shigeki Kimura**  
*Managing Executive Officer, Global Head of Industry Finance Group, Japan Bank for International Cooperation*

**Kozo Koide**  
*Chief Economist, DIAM Co., Ltd.*

**Dino Kos**  
*Head of Global Regulatory Affairs, CLS Bank International*

**Michael Kotler**  
*Coordinator, Reischauer Center for East Asian Studies, SAIS/Johns Hopkins University*

**Shizuharu Kubono**  
*Vice Chairman, The Life Insurance Association of Japan (LIAJ)*

**Charles Lake**  
*President, Aflac International*

**Chris LaRosa**  
*Executive Director, Government & Industry Relations CME Group*

**Jamie Li**  
*Portfolio Manager, The State Teachers Retirement System of Ohio*

**Hamilton Lin**  
*President & CEO, Wall St. Training*

**Hiroshi Maeta**  
*Chief of Investment, Sompo America, Chief Representative of New York, Sompo Japan Nipponkoa America Asset Management*

**Kurt MacAlpine**

*Executive Vice President - Head of Global Distribution,  
WisdomTree Asset Management*

**Martin Malone**

*Advisor, Alphabook*

**Oki Matsumoto**

*CEO, Monex Group, Inc.*

**Hajime Matsuura**

*Advisor to the Board of Directors/Senior Columnist, The  
Sankei Shimbun*

**Richard Mattione**

*Researcher, NichiLA LLC*

**Robert McKeon**

*Vice President - Regulatory Policy and Government  
Affairs, State Street*

**Kevin Mellyn**

*Consultant, African Development Bank*

**Kanetsugu Mike**

*Regional Executive for the Americas  
The Bank of Tokyo-Mitsubishi UFJ, Ltd.*

**Arthur Mitchell III**

*Senior Counselor, White & Case, LLP and Member of  
the Board, Sumitomo Mitsui Financial Group, Inc.*

**Farid Moslehi**

*Managing Director, KCG Asia Pacific*

**Satoru Murase**

*Partner, Morgan, Lewis & Bockius LLP*

**Takaaki Nakajima**

*Financial Services Advisory Practice Principal,  
PricewaterhouseCoopers LLP*

**Shinichiro Nakano**

*Executive Managing Director and the member of the  
Board, The Norinchukin Bank*

**Masazumi Nakayama**

*Managing Director, Citibank SA*

**Tatsufumi Nihei**

*Director, ABeam Consulting Ltd.*

**Hiroko Niwa**

*Deputy Treasurer, Mitsubishi Corporation*

**Koichi Noda**

*CEO, Americas,  
Sumimoto Mitsui Banking Corporation*

**Masashi Oba**

*Member of the Board, Executive Vice President and  
Chief Financial Officer, Tokio Marine Holdings, Inc.*

**Alicia Ogawa**

*Senior Advisor, Center on Japanese Economy and  
Business, Mike and Maureen Mansfield  
Foundation*

**Ronald P. O'Hanley**

*Chief Executive Office, State Street Global Advisors*

**Hiroshi Okada**

*Counselor, Embassy of Japan*

**Yuri Okane**

*Director, Tudor Investment Corporation*

**Mack Okubo**

*General Manager, International Affairs, Planning and  
Research Department, Nippon Life*

**Yoshio Okubo**

*Vice Chairman, Japan Investment Trust Association*

**Genichi Osawa**

*Chief Representative of New York Office, Japanese  
Ministry of Finance*

**Hiroshi Ota**

*President, Okazaki Institute*

**Toru Otsuka**

*Managing Director, Nomura Holdings, Inc.*

**Melissa Otto**

*Director, TIAA-CREF*

**Takashi Oyagi**

*Chief Strategic Officer, Managing Director (Member of  
the Board), Monex Group, Inc.*

**Tsuyoshi Oyama**

*Partner, Deloitte Touche Tohmatsu LLC*

**Hugh Patrick**

*R.D. Calkins Professor of International Business  
Emeritus and Director, Center on Japanese Economy  
and Business, Columbia Business School*

**Thierry Porté**

*Managing Director, J.C. Flowers and Company*

**Robin Radin**

*Co-Founder, Harvard Law School Japan-US  
Symposium*

**Karthik Ramanathan**

*Senior Vice President and Director of Bonds,  
Fidelity Investments*

**Tara Rice**

*Deputy Assistant Secretary for International Financial  
Stability, U.S. Department of Treasury*

**Ed Rogers**

*Chief Executive Officer and Chief Investment Officer,  
Rogers Investment Advisors*

**Tetsuya Sakamoto**

*General Manager for the Americas, Bank of Japan*

**Yoneo Sakai**

*Senior Advisor, The Hong Kong and Shanghai Banking  
Corporation Limited and HSBC Securities (Japan)  
Limited*

**Atsuhito Sakai**

*President, Guggenheim Partners Japan Ltd*

**Atsushi Saito**

*Chairman, KKR Japan*

**Mark Schuermann**

*Managing Director, Nomura Holding America*

**Hal S. Scott**

*Nomura Professor and Director, Program on  
International Financial Systems, Harvard Law School*

**Roger Servison**

*President, Strategic New Business Development,  
Fidelity Investments*

**Clifford Shaw**

*Chairman, Chamberlain Holdings Ltd.*

**Tomomi Shima**

*Senior Manager, ABeam Consulting Ltd.*

**Rieko Shimojo**

*Director, Member of the Board, Bayview Asset  
Management Co., Ltd.*

**Tsuneichiro Shimotsuya**

*Japanese Service Group Americas Deputy Leader,  
Deloitte LLP*

**Mark Siegel**

*Portfolio Manager, Elliott Management Corporation*

**John Simonson**

*Senior Partner, Financial Services,  
PricewaterhouseCoopers LLP*

**Richard Sleight**

*Partner, Financial Services, PricewaterhouseCoopers*

**Allan Smith**

*Vice President & Assistant General Counsel,  
Reinsurance Group of America (RGA)*

**Fumihide Sugimoto**

*Managing Partner, Nagashima Ohno & Tsunematsu*

**Naoki Tabata**

*Visiting Scholar, International Monetary Fund, Senior  
Adviser, Japan Economic Research Institute*

**Hideyuki Takahashi**

*Chairman, State Street Trust & Banking Co., Ltd.*

**Yoichi Takita**

*Senior Staff Writer, Nikkei*

**Junichi Tanaka**

*Chief Representative in New York, Sumitomo Life  
Insurance*

**Tetsuya Taniguchi**

*General Manager, The Dai-ichi Life Insurance  
Company, Limited*

**Keiko Tashiro**

*Chairwoman and CEO, Daiwa Capital Markets America  
Holdings*

**Haruyuki Toyama**

*Corporate Advisor, Sumitomo Mitsui Trust Bank,  
Limited*

**Yoshimi Tsushima**

*Deputy General Manager of Americas Division, Mizuho  
Bank, Ltd*

**Sigurd Ulland**

*Manager, International Financial Institutions, Federal  
Reserve Bank of New York*

**John Vail**

*Chief Global Strategist, Nikko Asset Management Co.,  
Ltd.*

**James Walsh**

*Managing Director, Walsh Advisors, LLC*

**Akihiro Wani**

*Senior Counselor, Morrison & Foerster, LLP*

**Hiroshi Watanabe**

*Governor, CEO, Japan Bank for International  
Cooperation*

**Tsutomu Watanabe**

*Professor, University of Tokyo*

**Bill Wilder**

*CIO & Senior Consultant, MATT.ER*

**Chris Winship**

*Financial Attaché, US Embassy Tokyo, U.S. Department  
of the Treasury*

**Mark Wu**

*Assistant Law Professor, Harvard Law School*

**Osamu Yamamoto**

*Partner, Unison Capital, Inc*

**Takahiro Yazawa**

*Executive Officer, Head of International Business ,  
SMBC Nikko Securities, Inc.*